

Chapter 7

Listing Principles and Premium Listing Principles



7.1 Application and purpose

Application

- 7.1.1 **R** (1) The Listing Principles in **■ LR 7.2.1 R** apply to every *listed company* in respect of all its obligations arising from the *listing rules, disclosure requirements, transparency rules* and *corporate governance rules*.
- (2) In addition to the Listing Principles referred to in (1), the Premium Listing Principles in **■ LR 7.2.1A R** apply to every *listed company* with a *premium listing* in respect of all its obligations arising from the *listing rules, disclosure requirements, transparency rules* and *corporate governance rules*.

Purpose

- 7.1.2 **G** The purpose of the Listing Principles and the Premium Listing Principles is to ensure that *listed companies* pay due regard to the fundamental role they play in maintaining market confidence and ensuring fair and orderly markets.
- 7.1.3 **G** The Listing Principles and, if applicable, the Premium Listing Principles are designed to assist *listed companies* in identifying their obligations and responsibilities under the *listing rules, disclosure requirements, transparency rules* and *corporate governance rules*. The Listing Principles and Premium Listing Principles should be interpreted together with relevant *rules* and *guidance* which underpin the Listing Principles and the Premium Listing Principles.
- 7.1.4 **G** **■ DEPP 6 (Penalties)** and **■ EG 7** set out *guidance* on the consequences of breaching a Listing Principle or, if applicable, a Premium Listing Principle.