

## Chapter 4

Listing particulars for  
professional securities  
market and certain other  
securities: All securities



4.3 Approval and publication of listing particulars

Approval of listing particulars

- 4.3.1 R An application for approval of *listing particulars* or *supplementary listing particulars* must comply with the procedures in ■ PRR 3.1 (as if those procedures applied to the application), except that the applicant does not need to submit a completed form A.
- 4.3.2 R The FCA will approve *listing particulars* or *supplementary listing particulars* if it is satisfied that the requirements of the Act and this chapter have been complied with.
- 4.3.3 G The FCA will try to notify the applicant of its decision on an application for approval of *listing particulars* or *supplementary listing particulars* within the same time limits as are specified in article 20 of the *Prospectus Regulation* for an application for approval of a *prospectus* or *supplementary prospectus*.
- 4.3.4 R An issuer must ensure that *listing particulars* or *supplementary listing particulars* are not published until they have been approved by the FCA.

Filing and publication of listing particulars etc

- 4.3.5 R An issuer must ensure that after *listing particulars* or *supplementary listing particulars* are approved by the FCA, the *listing particulars* or *supplementary listing particulars* are filed and published as if the relevant requirements in ■ PRR 3.2, article 21 of the *Prospectus Regulation*, the *PR Regulation* and the *Prospectus RTS Regulation* applied to them.