Chapter 4

Listing particulars for professional securities market and certain other securities: All securities

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4.1 Application and Purpose

Application

4.1.1 R This chapter applies to an *issuer* that has applied for the *admission* of:

(1) *securities* specified in article 1(2) of the *Prospectus Regulation* (other than *securities* specified in article 1(2)(a), (b) or (d) of that regulation); or

(2) any other *specialist securities* for which a *prospectus* is not required under the *Act* or the *Prospectus Regulation*.

Purpose

4.1.2 G (1) The purpose of this chapter is to require *listing particulars* to be prepared and published for *securities* that are the subject of an application for *listing* in the circumstances set out in LR 4.1.1 R where a *prospectus* is not required under the *Prospectus Regulation*.

Listing particulars to be approved and published

4.1.3 R An *issuer* must ensure that *listing particulars* for *securities* referred to in LR 4.1.1 R are approved by the *FCA* and published in accordance with LR 4.3.5 R.

Note: Under LR 2.2.11 R, the *securities* will only be *listed* if *listing particulars* for the *securities* have been approved by the *FCA* and published.