

Chapter 4

Listing particulars for professional securities market and certain other securities: All securities

4.1 Application and Purpose

Application

- 4.1.1 **R** This chapter applies to an *issuer* that has applied for the *admission* of:
- (1) *securities* specified in article 1(2) of the *Prospectus Regulation* (other than *securities* specified in article 1(2)(a), (b) or (d) of that regulation); or
 - (2) any other *specialist securities* for which a *prospectus* is not required under the *Act* or the *Prospectus Regulation*.

Purpose

- 4.1.2 **G** (1) The purpose of this chapter is to require *listing particulars* to be prepared and published for *securities* that are the subject of an application for *listing* in the circumstances set out in **LR 4.1.1 R** where a *prospectus* is not required under the *Prospectus Regulation*.

Listing particulars to be approved and published

- 4.1.3 **R** An *issuer* must ensure that *listing particulars* for *securities* referred to in **LR 4.1.1 R** are approved by the *FCA* and published in accordance with **LR 4.3.5 R**.
- Note:** Under **LR 2.2.11 R**, the *securities* will only be *listed* if *listing particulars* for the *securities* have been approved by the *FCA* and published.