

## Chapter 20

# Miscellaneous Securities: Standard listing



## 20.5 Disclosures

**20.5.1** **R** An issuer must submit to the FCA two copies of any document required by ■ LR 20.5.2 R to ■ LR 20.5.3 R at the same time as the document is issued.

**20.5.2** **R** An issuer must notify a RIS of all notices to holders of *listed miscellaneous securities* no later than the date of despatch or publication.

### Underlying securities

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**20.5.3** **R** An issuer must notify a RIS of any adjustment or modification it makes to a *miscellaneous security* as a result of any change to a *security* over which the *listed miscellaneous security* carries a right to buy or subscribe.

### Suspension of listing

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**20.5.4** **R** An issuer must inform the FCA immediately if it becomes aware that any *security* over which the *listed miscellaneous security* carries a right to buy or subscribe that is listed or traded outside the *United Kingdom* has been suspended.

**20.5.5** **G** ■ LR 5.1.2 G (7) and ■ LR 5.1.2 G (8) and ■ LR 5.4.6 G may be of relevance to an issuer of *miscellaneous securities*.