

Chapter 20

Miscellaneous Securities: Standard listing



20.5 Disclosures

20.5.1 **R** An issuer must submit to the FCA two copies of any document required by **LR 20.5.2 R** to **LR 20.5.3 R** at the same time as the document is issued.

20.5.2 **R** An issuer must notify a RIS of all notices to holders of *listed miscellaneous securities* no later than the date of despatch or publication.

Underlying securities

20.5.3 **R** An issuer must notify a RIS of any adjustment or modification it makes to a *miscellaneous security* as a result of any change to a *security* over which the *listed miscellaneous security* carries a right to buy or subscribe.

Suspension of listing

20.5.4 **R** An issuer must inform the FCA immediately if it becomes aware that any *security* over which the *listed miscellaneous security* carries a right to buy or subscribe that is listed or traded outside the *United Kingdom* has been suspended.

20.5.5 **G** **LR 5.1.2 G (7)** and **LR 5.1.2 G (8)** and **LR 5.4.6 G** may be of relevance to an issuer of *miscellaneous securities*.