

Chapter 16A

Open-ended investment companies: Standard listing



16A.3 Requirements with continuing application

Authorisation or recognition

16A.3.1 **R** An *open-ended investment company* must comply with ■ LR 16A.2.1R(1) at all times.

Continuing obligations

16A.3.2 **R** An *open-ended investment company* must comply with ■ LR 14.3.1R, ■ LR 14.3.4R, ■ LR 14.3.6R to ■ LR 14.3.8R and ■ LR 14.3.24R.

16A.3.3 **G** An *open-ended investment company* whose *equity shares* are admitted to trading on a *regulated market* in the *United Kingdom* should consider its obligations under the *disclosure requirements*.

Changes to tax status

16A.3.4 **R** An *open-ended investment company* must notify any change in its taxation status to a *RIS* as soon as possible.