

Chapter 1

Preliminary: All securities

1.6 Listing Categories

1.6.1 **G** [deleted]

1.6.1A **R** An *issuer* must comply with the *rules* that are applicable to every *security* in the category of *listing* which applies to each *security* the *issuer* has *listed*. The categories of *listing* are:

- (1) *premium listing (commercial company);*
- (2) *premium listing (closed-ended investment fund);*
- (3) *premium listing (open-ended investment companies);*
- (3A) *premium listing (sovereign controlled commercial company);*
- (4) *standard listing (shares);*
- (5) *standard listing (debt and debt-like securities);*
- (6) *standard listing (certificates representing certain securities);*
- (7) *standard listing (securitised derivatives);*
- (8) *standard listing (miscellaneous securities).*

1.6.2 **R** An *issuer* must inform the *FCA* if the characteristics of a *security* change so that the *security* no longer meets the definition of a *security* in the category in which it has been placed.