Chapter 1

Preliminary: All securities



## 1.6 **Listing Categories**

- G [deleted] 1.6.1
- 1.6.1A An issuer must comply with the rules that are applicable to every security in the category of *listing* which applies to each security the issuer has listed. The categories of *listing* are:
  - (1) premium listing (commercial company);
  - (2) premium listing (closed-ended investment fund);
  - (3) [deleted]
  - (3A) premium listing (sovereign controlled commercial company);
    - (4) standard listing (shares);
  - (4A) standard listing (open-ended investment companies);
    - (5) standard listing (debt and debt-like securities);
    - (6) standard listing (certificates representing certain securities);
    - (7) standard listing (securitised derivatives);
    - (8) standard listing (miscellaneous securities).
- 1.6.2 An issuer must inform the FCA if the characteristics of a security change so that the security no longer meets the definition of a security in the category in which it has been placed.