

## Chapter 14

# Chapter 14 Consolidated Supervision for Investment Businesses



## 14.3 CONSOLIDATED SUPERVISION REQUIREMENT

- 14.3.1 **R** A *firm* must at all times ensure that its group maintains group *financial resources* in excess of its *group financial resources requirement*.
- 14.3.2 **R** A *firm*, other than one which is defined in rule 14.1.1(1), must at all times comply with *large exposures* limits applied on a group basis.