

## Chapter 1

# FCA approval and emergencies

1.1 Application

[Note: ESMA has also issued guidelines under article 16(3) of the ESMA Regulation covering various topics relating to automated trading and direct electronic access. See [www.fca.org.uk](http://www.fca.org.uk)]

- 1.1.1

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(1)

This chapter applies to every *firm*. ■ GEN 1.3 (Emergency) also applies to an *unauthorised person* to whom a *rule* in the *Handbook* applies.

(2)

[deleted]

(3)

■ GEN 1.2.2AR(1) also applies to a *registered person communicating a financial promotion* relating to one or more *qualifying cryptoassets* (in reliance on the exemption in article 73ZA of the *Financial Promotion Order*).

(4)

For the purpose of (3), reference in ■ GEN 1.2.2AR(1) to a *firm* include reference to a *registered person*.
- 1.1.2

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