

Fees Manual

FEES TP 14

Transitional provisions relating to FEES 4 for benchmark
administrators and recognised investment exchanges

| (1) | (2) Material to which the transitional provision applies | (3) | (4) Transitional provision | (5) Transitional provision: dates in force | (6) Handbook provision: coming into force |
|------|--|-----|--|--|---|
| 14.1 | FEES TP 4.1.2R and FEES 4.4 | R | FEES TP 4.1.2R does not apply to changes to the requirements in <i>FEES on benchmark administrators and recognised investment exchanges</i> made by the Fees (Miscellaneous Amendments) (No 9) Instrument 2017. These amendments will have immediate effect for the supply of information under FEES 4.4 in relation to the <i>fee year</i> beginning 1 April 2017 and ending 31 March 2018. | From 1 April 2017 | 1 April 2017 |
| 14.2 | FEES 4.4.2R | R | For the year ending 31 December 2016, rather than having to provide the FCA with the information required under FEES 4.4.1R within two <i>months</i> of the date specified as the valuation date in Part 5 of FEES 4 | From 1 April 2017 | 1 April 2017 |

| (1) | (2) Material to which the transitional provision applies | (3) | (4) Transitional provision | (5) Transitional provision: dates in force | (6) Handbook provision: coming into force |
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| | | | Annex 1AR <i>benchmark administrators and recognised investment exchanges</i> are required to submit this information by 18 June 2017. | | |