

## Appendix 2



Periodic fees imposed under Regulation 27 of the OPBAS Regulations:  
tariff base, review date, tariff rates

[Note: Regulation 27 of the OPBAS Regulations]

Part 1

This table sets out the tariff base for the **professional body supervisor** fee-block. The tariff base in this Part is the means by which the **FCA** calculates the annual periodic fees payable by a **professional body supervisor** to the **FCA**.

D.2 Professional body supervisors	Supervised individuals
Professional bodies listed in Schedule 1 to the Money Laundering Regulations.	<p>The total of:</p> <p>(a) the number of all <b>beneficial owners, officers and managers</b> of all <b>supervised firms</b> that are <b>relevant persons</b>; plus</p> <p>(b) the number of all <b>supervised</b> sole practitioners who are <b>relevant persons</b>.</p> <p>Where a <b>relevant person</b> is <b>supervised</b> under the <b>MLR</b> by more than one <b>professional body supervisor</b> and the <b>professional body supervisors</b> concerned have agreed which one of them will include the number specified in (a) or (b) above in its count of 'supervised individuals', the remaining <b>professional body supervisor(s)</b> may exclude this information from their count of 'supervised individuals'.</p>

Part 2

This table sets out the review date for a **professional body supervisor's** fees. A **professional body supervisor** is required to send to the **FCA** the information in Part 1 of this Annex as at the review date set out below, as soon as reasonably practicable, and in any event by the date shown in **FEES App 2.3.9** and/or any relevant transitional provision.

D.2 Professional body supervisors	The most recent number of supervised individuals (calculated in accordance with Part 1) during the twelve <b>months</b> ending 5 April before the relevant <b>fee year</b> .
-----------------------------------	--

Part 3

This table sets out the tariff rates applicable to **professional body supervisors**.

Amount payable	
Fee payable in relation to 2023/24	(£)
Minimum fee, payable by all <b>professional body supervisors</b> subject to the <b>OPBAS Regulations</b> .	£5,310

Fee payable in relation to 2023/24	Amount payable (£)
Variable fee, payable by <b>professional body supervisors</b> where the number of supervised individuals is 6,000 or more.	£38.65 multiplied by the total number of supervised individuals in excess of the threshold of 6,000.  [See Note]
[Note: reference to “the number of supervised individuals” is to those supervised individuals calculated in accordance with Part 1.]	