

Chapter 4

Periodic fees

UKLA periodic fees for the period from 1 April 2021 to 31 March 2022

Part 1 Base fee		
Activity group or invoice code (Note 1)	Description	Base fee payable (£)
E.1	Discontinued	
E.2	Premium listed issuer	5,686
	<i>A listed issuer of equity shares and certificates representing shares with a premium listing (see Note 2)</i>	
E.3	Standard listed issuer	21,536
	<i>A listed issuer of shares and certificates representing certain securities with a standard listing and not with a premium listing (see Note 2)</i>	
E.4	Discontinued	
E.5	Discontinued	
E.6	Non-listed issuer (in DTR)	0
	<i>A non-listed issuer (in DTR)</i>	
E.7	Primary information provider	17,973
	<i>A primary information provider</i>	
ES.01	Sponsor	29,938
	<i>A sponsor (see Note 3)</i>	
Notes		
Note 1	The 'E' activity groups are codes that appear on FCA invoices for periodic fees.	
Note 2	<p><i>A listed issuer of shares and certificates representing certain securities</i> need not pay periodic fees if the following conditions apply:</p> <p>(1) the <i>listed issuer</i>, or a related entity, has already paid a periodic fee in respect of the period concerned; or</p> <p>(2) the <i>listed issuer</i> is subject to <i>listing rules</i> as a result of a <i>reverse takeover</i>; or</p> <p>(3) the <i>listed issuer</i> is a newly formed entity, created as a result of a restructuring.</p>	
Note 3	In the case of approval of a <i>sponsor</i> following a change of legal status in accordance with FEES 3 Annex 1R Part 7, the balance of the fees otherwise due from the original <i>sponsor</i> is due from the <i>sponsor</i> that is a result of the change of legal status.	
Part 2 Variable fee additional to base fee		
Activity Group	Market capitalisation as at the last <i>business day</i> of the September prior to the <i>fee-year</i> in which the fee is payable in £million	Fee payable in £per £million or £part million

E.2	Premium listed issuer (as described in Part 1)	0 - 100	0
		> 100 - 250	37.335163
		> 250 – 1,000	14.405007
		> 1,000 – 5,000	8.866872
		> 5,000 – 25,000	0.216289
		> 25,000	0.069878