

# Chapter 4

## Periodic fees



## UKLA periodic fees for the period from 1 April 2018 to 31 March 2019

Part 1 Base fee			
Activity group or invoice code (Note 1)	Description	Base fee payable (£)	
E.1	Discontinued		
E.2	Premium listed issuer	<i>A listed issuer of equity shares and certificates representing shares with a premium listing (see Note 2)</i>	5,355
E.3	Standard listed issuer	<i>A listed issuer of shares and certificates representing certain securities with a standard listing and not with a premium listing (see Note 2)</i>	20,285
E.4	Discontinued		
E.5	Discontinued		
E.6	Non-listed issuer (in DTR)	<i>A non-listed issuer (in DTR)</i>	0
E.7	Primary information provider	<i>A primary information provider</i>	16,920
ES.01	Sponsor	<i>A sponsor (see Note 3)</i>	28,190

### Notes

#### Note 1

The 'E' activity groups are codes that appear on FCA invoices for periodic fees.

#### Note 2

*A listed issuer of shares and certificates representing certain securities* need not pay periodic fees if the following conditions apply:

(1) the *listed issuer*, or a related entity, has already paid a periodic fee in respect of the period concerned; or

(2) the *listed issuer* is subject to *listing rules* as a result of a *reverse takeover*; or

(3) the *listed issuer* is a newly formed entity, created as a result of a restructuring.

#### Note 3

In the case of approval of a *sponsor* following a change of legal status in accordance with FEES 3 Annex 1R Part 7, the balance of the fees otherwise due from the original *sponsor* is due from the *sponsor* that is a result of the change of legal status.

### Part 2 Variable fee additional to base fee

Activity Group	Market capitalisation as at the last <i>business day</i> of the November prior to the <i>fee-year</i> in which the fee is payable in £million	Fee payable in £per £million or £part million
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E.2	Premium listed issuer (as described in Part 1)	0 - 100	0
		> 100 - 250	27.457725
		> 250 – 1,000	10.594000
		> 1,000 – 5,000	6.521042
		> 5,000 – 25,000	0.159068
		> 25,000	0.051391