

Chapter 3

Application, Notification and Vetting Fees

Application fees payable in connection with Recognised Investment Exchanges and Recognised Auction Platforms

| Description of applicant | Applicable pricing category in FEES 3 Annex 1AR | Due date |
|--|---|------------------------------|
| Part 1 (UK recognised bodies) | | |
| Applicant for recognition as a <i>UK RIE</i> | 10 | Date the application is made |
| Applicant for recognition as a <i>RAP</i> (payable in addition to any other application fee due under this part) | 35,000 | Date the application is made |
| [deleted] | | |
| [deleted] | | |
| Additional fees for a <i>UK RIE</i> applicant who proposes to: | | |
| - offer safeguarding and administration services | 8 | Date the application is made |
| - use substantially new and untested information technology systems in the performance of its relevant functions | 8 | Date the application is made |
| Part 2 (<i>ROIEs</i>) | | |
| Applicant for recognition as a recognised overseas investment exchange | 9 | Date the application is made |
| Additional fees for applicant who proposes to: | | |
| - offer safeguarding and administration services | 8 | Date the application is made |
| - use substantially new and untested information technology systems in the performance of its relevant functions | 7 | Date the application is made |