Chapter 3

Application, Notification and Vetting Fees

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## Fees for an application for variation of permission

The fee relevant to the application is due on or before the date the application is made.

|               | A                                                 | pplication type                                                                                                                             | Applicable pri-<br>cing category<br>in FEES 3 An-<br>nex 1AR                  |
|---------------|---------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| 1             | Reduction in the scope of t increases             | the applicant's permissions and no other                                                                                                    | N/A                                                                           |
| Where the app | lication is to increase permiss                   | ions:                                                                                                                                       |                                                                               |
| 2             | Unless (4)-(11) applies, an a mission within:     |                                                                                                                                             |                                                                               |
|               | (a)                                               | Fee-blocks A.1, A.3, A.4, A.5                                                                                                               | Category 1                                                                    |
|               | (b)                                               | Any other fee-block                                                                                                                         | Category 2                                                                    |
| 3             |                                                   | s falls within an activity group specified nich the applicant does not already hold                                                         | 50% of the<br>highest pricing<br>category rel-<br>evant to the<br>application |
| 4             |                                                   | s falls within an activity group specified nich the applicant does not already hold                                                         | 50% of the<br>highest pricing<br>category rel-<br>evant to the<br>application |
| 5             | Credit-related permissions                        |                                                                                                                                             |                                                                               |
|               | (a)                                               | Activity group CC1 – any applican holds a limited permission and ap                                                                         |                                                                               |
|               | (i)                                               | Another lim-<br>ited permission<br>activity                                                                                                 | No fee payable                                                                |
|               | (ii)                                              | Any other credit-related permission                                                                                                         | 100% of highest pricing category relevant to the application.                 |
|               | (b)                                               | Activity group CC2 – any applicant which already holds a permission within activity group CC2 and applies for another permission within CC2 | 50% of the highest pricing category                                           |
| 6             |                                                   | oup A.1 which applies for the permisnent claims or managing dormant asset ment of such funds)                                               | 50% of the<br>highest pricing<br>category rel-<br>evant to the<br>application |
| 7             | Credit union applying to vary its permission for: |                                                                                                                                             |                                                                               |
|               | (a)                                               | credit-related activity                                                                                                                     | £50                                                                           |
|               |                                                   |                                                                                                                                             |                                                                               |

|    | Application type                                                                                                                                                                | Applicable pri-<br>cing category<br>in FEES 3 An-<br>nex 1AR    |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|
|    | (b) any other activity                                                                                                                                                          | £75                                                             |
| 8  | Simple change of legal status (see definition in FEES 3 Annex 1R Part 6)                                                                                                        | 50% of the highest pricing category relevant to the application |
| 9  | Application by <i>MTF</i> operator to become an <i>OTF</i> operator or an <i>OTF</i> operator to become an <i>MTF</i> operator                                                  | 50% of Cat-<br>egory 8                                          |
| 10 | Notification of the intention of a <i>regulated benchmark administrator</i> to administer benchmarks additional to those included in its original application for authorisation | 0                                                               |