

## Chapter 6

# Bribery and corruption

## 6.3 Further guidance

### 6.3.1

**G**

*FCTR* contains the following additional material on bribery and corruption:

- ■ **FCTR 9** summarises the findings of the *FSA*'s thematic review Anti-bribery and corruption in commercial insurance broking and includes guidance on:

Governance and management information (■ **FCTR 9.3.1G**)

Risk assessment and responses to significant bribery and corruption events (■ **FCTR 9.3.2G**)

Due diligence on third-party relationships (■ **FCTR 9.3.3G**)

Payment controls (■ **FCTR 9.3.4G**)

Staff recruitment and vetting (■ **FCTR 9.3.5G**)

Training and awareness (■ **FCTR 9.3.6G**)

Risk arising from remuneration structures (■ **FCTR 9.3.7G**)

Incident reporting (■ **FCTR 9.3.8G**)

The role of compliance and internal audit (■ **FCTR 9.3.9G**)

- ■ **FCTR 13** summarises the findings of the *FSA*'s thematic review on Anti-bribery and corruption systems and controls in investment banks and includes guidance on:

Governance and management information (■ **FCTR 13.3.2G**)

Assessing bribery and corruption risk (■ **FCTR 13.3.3G**)

Policies and procedures (■ **FCTR 13.3.4G**)

Third party relationships and due diligence (■ **FCTR 13.3.5G**)

Payment controls (■ **FCTR 13.3.6G**)

Gifts and hospitality (■ **FCTR 13.3.7G**)

Staff recruitment and vetting (■ **FCTR 13.3.8G**)

Training and awareness (■ **FCTR 13.3.9G**)

Remuneration structures (■ **FCTR 13.3.10G**)

Incident reporting and management (■ **FCTR 13.3.11G**)