

# Chapter 1

## Purpose and application

## 1.2 General application

1.2.1 **R** [deleted]

1.2.2 **R** [deleted]

1.2.3 **G** The specific application of the *rules* and *guidance* in **ESG 2**, **ESG 4** and **ESG 5** is set out in **ESG 1A** and **ESG 3**.

1.2.4 **G** (1) The table at **ESG 1.2.4G(2)** provides a general overview as to how the *rules* in **ESG 2**, **ESG 4** and **ESG 5** apply to *firms*.

(2) This table belongs to **ESG 1.2.4G(1)**.

Type of firm	Applicable provisions
All <i>firms</i>	ESG 4.1.1R(1); ESG 4.3.1R
<i>Distributors</i>	ESG 4.1.1R(1); ESG 4.1.16R to ESG 4.1.19R; ESG 4.3.1R.
Asset managers	
A <i>firm</i> managing a UK UCITS or an AIF, excluding:	ESG 2 (except for ESG 2.3.5R to ESG 2.3.8R relating to <i>on-demand TCFD information</i> );
(a) a <i>firm</i> managing a feeder fund; or	
(b) a full-scope UK AIFM or a small authorised UK AIFM managing an unauthorised AIF not listed on a recognised investment exchange;	ESG 4 (except for ESG 4.1.16R to ESG 4.1.19R relating to <i>distributors</i> ) only in relation to UK UCITS and UK AIFs; ESG 5 (except for ESG 5.5.13R to ESG 5.5.15R relating to <i>on demand sustainability information</i> ) only in relation to UK UCITS and UK AIFs.
A <i>firm</i> managing a feeder fund	ESG 4.1.2R to ESG 4.1.8R, ESG 4.1.13R and ESG 4.1.14R; ESG 4.3.1R to ESG 4.3.8R;

Type of firm	Applicable provisions
<p>A firm that is a full-scope UK AIFM or a small authorised UK AIFM managing an unauthorised AIF not listed on a recognised investment exchange</p>	<p>ESG 5.6</p> <p>ESG 2 (except for ESG 2.3.1R to ESG 2.3.4R relating to a public TCFD product report);</p> <p>ESG 4 (except for ESG 4.1.16R to ESG 4.1.19R relating to distributors) only in relation to UK AIFs;</p> <p>ESG 5 (except in relation to the preparation of Part B of a public product-level sustainability report) only in relation to UK AIFs.</p>
<p>Asset owners</p> <p>A firm in table ESG 1A.1.1R(2), Part B</p>	<p>ESG 2 (except for ESG 2.3.5R to ESG 2.3.8R relating to on-demand TCFD information);</p> <p>ESG 4.1.1R(1);</p> <p>ESG 4.3.1R.</p>