

Chapter 9

Prohibition Orders and withdrawal of approval



9.5 Prohibition orders against other individuals

- 9.5.1
- Where the *FCA* is considering making a *prohibition order* against an individual other than an individual referred to in paragraphs ■ 9.3.1 to ■ 9.3.7, the *FCA* will consider the severity of the risk posed by the individual, and may prohibit the individual where it considers this is appropriate to achieve one or more of its *statutory objectives*.
- 9.5.2
- When considering whether to exercise its power to make a *prohibition order* against such an individual, the *FCA* will consider all the relevant circumstances of the case. These may include, but are not limited to, where appropriate, the factors set out in paragraph ■ 9.3.2.