

Chapter 3

Use of information gathering and investigation powers

3.2B Information requests (section 122B)

3.2B.1

The *FCA* may use its section 122B power to require information and documents from a person to support both its supervisory and its enforcement functions under the *Market Abuse Regulation* or any directly applicable *EU* regulation made under the *Market Abuse Regulation*, or under the *auction regulation*.

[**Note:** see Regulation 6 and Schedule 1 to the *RAP Regulations* for application of the power in relation to functions under the *auction regulation*]

3.2B.2

An *officer* with authorisation from the *FCA* may exercise the section 122B power to require information and documents. This includes an *FCA* employee or an agent of the *FCA*.