Chapter 3

Use of information gathering and investigation powers



3.2A Information requests (section 122A)

- 3.2A.1 The FCA may use its section 122A power to require information and documents from an issuer, a person discharging managerial responsibilities or a person closely associated with a person discharging managerial responsibilities to support its supervisory and its enforcement functions, including those under the Market Abuse Regulation.
- 3.2A.2 An officer with authorisation from the FCA may exercise the section 122A power to require information and documents. This includes an FCA employee or an agent of the FCA.

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