

Chapter 3

Use of information gathering and investigation powers

3.2 Information requests (section 165)

- 3.2.1** The *FCA* may use its section 165 power to require information and documents from *firms* to support both its supervisory and its enforcement functions.
- 3.2.2** An officer with authorisation from the *FCA* may exercise the section 165 power to require information and documents from *firms*. This includes an *FCA* employee or an agent of the *FCA*.