

Chapter 5

Vote Holder and Issuer Notification Rules

5.7 Notification of combined holdings

- 5.7.1** **R** A *person* making a notification in accordance with ■ DTR 5.1.2 R must do so by reference to each of the following:
- (1) the aggregate of all voting rights which the *person* holds as *shareholder* and as the direct or indirect holder of financial instruments falling within ■ DTR 5.3.1R(1);
 - (2) the aggregate of all voting rights held as direct or indirect *shareholder* (disregarding for this purpose holdings of financial instruments); and
 - (3) the aggregate of all voting rights held as a result of direct and indirect holdings of financial instruments falling within ■ DTR 5.3.1R(1).
[Note: article 13a(1) of the *TD*]
 - (4) [deleted]
- 5.7.1A** **R** Voting rights relating to financial instruments within ■ DTR 5.3.1R(1) that have already been notified in accordance with ■ DTR 5.1.2R must be notified again when the *person* has acquired the underlying *shares* and such acquisition results in the total number of voting rights attached to *shares* issued by the same *issuer* reaching or exceeding the thresholds laid down by ■ DTR 5.1.2R.
[Note: article 13a(2) of the *TD*]
- 5.7.2** **G** The effect of ■ DTR 5.7.1 R is that a *person* may have to make a notification if the overall percentage level of his voting rights remains the same but there is a notifiable change in the percentage level of one or more of the categories of voting rights held.