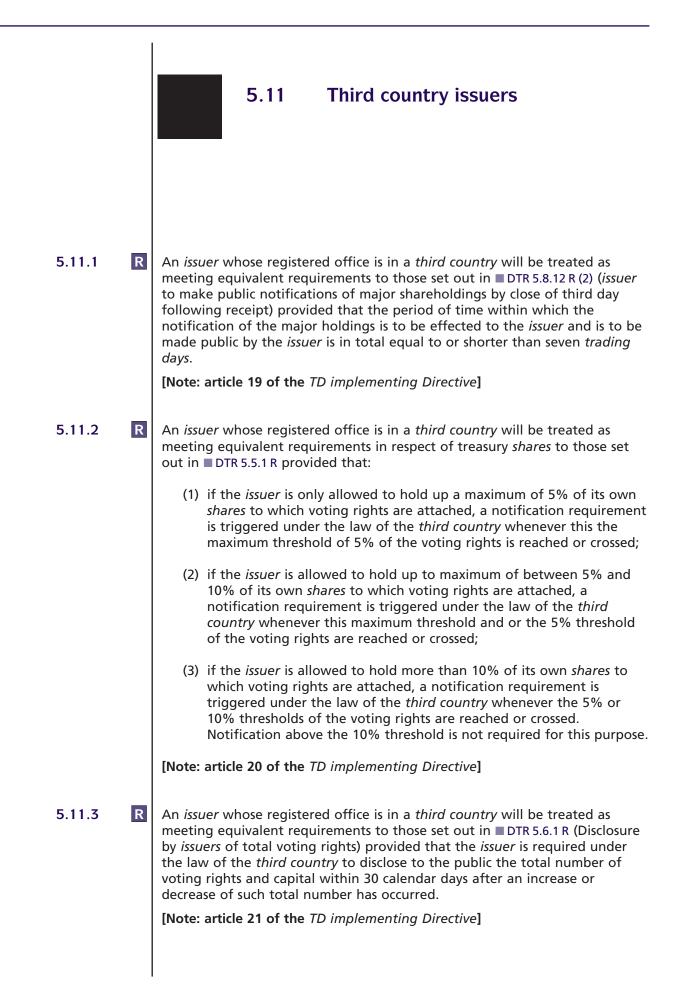
Disclosure Guidance and Transparency Rules sourcebook

Chapter 5

Vote Holder and Issuer Notification Rules



Section 5.11 : Third country issuers

DTR 5 : Vote Holder and Issuer Notification Rules

5.11.4	R	An <i>issuer</i> whose registered office is in a <i>third country</i> is exempted from ■ DTR 5.5.1R, ■ DTR 5.6.1R and ■ DTR 5.8.12R(2) if:
		(1) the law of the <i>third country</i> in question lays down equivalent requirements; or
		(2) the <i>issuer</i> complies with requirements of the law of a <i>third country</i> that the <i>FCA</i> considers as equivalent.
		[Note: article 23(1) of the TD]
5.11.5	G	The FCA maintains a published list of <i>third country</i> , for the purpose of DTR 5.11.4R, whose laws lay down requirements equivalent to those imposed upon <i>issuers</i> by this chapter, or where the requirements of the law of that <i>third country</i> are considered to be equivalent by the FCA. Such <i>issuers</i> remain subject to the following requirements of DTR 6:
		(1) the filing of information with the FCA;
		(2) the language provisions; and
		(3) the dissemination of information provisions.
5.11.6	R	[deleted]