

# Chapter 1A

## Introduction (Transparency rules)



### 1A.3 FCA may require the publication of information

- 1A.3.1** **R** (1) The *FCA* may, at any time, require an *issuer* to publish such information in such form and within such time limits as it considers appropriate to protect investors or to ensure the smooth operation of the market.
- (2) If an *issuer* fails to comply with a requirement under paragraph (1) the *FCA* may itself publish the information (after giving the *issuer* an opportunity to make representations as to why it should not be published).

#### Misleading information not to be published

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**1A.3.2** **R** An *issuer* must take all reasonable care to ensure that any information it notifies to a *RIS* is not misleading, false or deceptive and does not omit anything likely to affect the import of the information.

**1A.3.2A** **R** The duty imposed by **■** DTR 1A.3.2 R does not apply to an *issuer's* obligation under **■** DTR 5.8.12 R to make public the information contained in a vote holder notification made to it under **■** DTR 5.1.2 R.

#### Notification when a RIS is not open for business

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- 1A.3.3** **R** If an *issuer* is required to notify information to a *RIS* at a time when a *RIS* is not open for business, it must distribute the information as soon as possible to:
- (1) not less than two national newspapers in the *United Kingdom*;
  - (2) two newswire services operating in the *United Kingdom*; and
  - (3) a *RIS* for release as soon as it opens.