

Chapter 1

Introduction



1.1 Application and purpose (Disclosure guidance)

[**Note:** ESMA has also issued guidelines under article 16(3) of the ESMA Regulation covering ‘Alternative Performance Measures’. See ESMA guidelines: ESMA Guidelines on Alternative Performance Measures, 5 October 2015 (ESMA/2015/1415).]

1.1.1 **G** The *disclosure requirements* and the *disclosure guidance* apply to all *persons* to whom the *FCA* is obliged to apply the provisions of the *Market Abuse Regulation* relating to disclosure under article 22 of that Regulation.

Purpose

1.1.2 **G** The purpose of ■ **DTR 1**, ■ **DTR 2** and ■ **DTR 3** is to provide guidance on aspects of the *disclosure requirements*.

FCA performing functions as competent authority

1.1.3 **G** **Other relevant parts of Handbook**
Note: Other parts of the *Handbook* that may also be relevant to *persons* to whom the *disclosure requirements* and the *disclosure guidance* apply include *DEPP* (Decision Procedure and Penalties Manual) and Chapter 9 of *SUP* (the Supervision manual).

The following Regulatory Guides are also relevant:

- 1. The Enforcement Guide (*EG*)
- 2. [intentionally blank]

Note: A list of *regulated markets* can be found on the *FCA* website.