

Threshold Conditions

COND TP 1 Transitional Provisions

There are no transitional provisions in *COND*.

GEN contains transitional provisions that apply throughout the *Handbook*.

Threshold Conditions

Schedule 1 Record keeping requirements

Sch 1.1 G

There are no record keeping requirements in *COND*.

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Schedule 2 Notification requirements

Sch 2.1 G

There are no notification *rules* in *COND* but guidance is given in *COND* 1.3.3 G on disclosure to the *FCA* in connection with applications.

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Schedule 3 Fees and other required payments

Sch 3.1 G

There are no requirements for fees or other payments in *COND*.

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Schedule 4 Powers exercised

Sch 4.1 G

The following power in the *Act* has been exercised by the *FSA* to give the *guidance* in *COND*:

Section 157(1) (Guidance).

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Schedule 5 Rights of action for damages

Sch 5.1 G

There are no rules in *COND*.

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Schedule 6 Rules that can be waived

Sch 6.1 G

There are no *rules* in *COND*.

