

Code of Conduct (COCON)

Schedule 1 Record keeping requirements

Sch 1.1 G

There are no record keeping requirements in *COCON*.

Code of Conduct (COCON)

Schedule 2 Notification requirements

Sch 2.1 G

The aim of the guidance in the following table is to give the reader a quick overall view of the relevant requirements for notification and reporting.

Sch 2.2 G

It is not a complete statement of those requirements and should not be relied on as if it were.

Handbook reference	Matter to be notified	Contents of notification	Trigger event	Time allowed
COCON 2.2.4R	Any information of which the <i>FCA</i> or <i>PRA</i> would reasonably expect notice.	Appropriate disclosure	Any information of which the <i>FCA</i> or <i>PRA</i> would reasonably expect notice.	Appropriate

Code of Conduct (COCON)

Schedule 3 Fees and required payments

Sch 3.1 G

There are no requirements for fees or other payments in *COCON*.

Code of Conduct (COCON)

Schedule 4 Powers exercised

Sch 4.1 G

Section 64A (Rules of conduct)

Section 139A (Power of the *FCA* to give guidance)

Code of Conduct (COCON)

Schedule 5 Rights of action for damages

Sch 5.1 G

There is no right of action under section 138D of the *Act* (Actions for damages) for breach of the *rules* in *COCON*.

Code of Conduct (COCON)

Schedule 6 Rules that can be waived

Sch 6.1 G

Section 138A (Modification or waiver of rules) does not apply to *COCON*.

