

## Chapter 10

Appropriateness (for non-MiFID and non-insurance-based investment products non-advised services) (non-MiFID and non-insurance-based investment products provisions)



**10.6** When a firm need not assess appropriateness

**10.6.1** **G** A *firm* need not assess appropriateness if it is receiving or transmitting an order in relation to which it has assessed suitability under **■** COBS 9 (Suitability (including basic advice)).

**10.6.2** **G** [deleted]