

Chapter 10

Appropriateness (for non-MiFID non-advised services)
(non-MiFID provisions)



10.6 When a firm need not assess appropriateness

10.6.1 G A *firm* need not assess appropriateness if it is receiving or transmitting an order in relation to which it has assessed suitability under ■ COBS 9 (Suitability (including basic advice)).

10.6.2 G [deleted]