

Statements of Principle and Code of Practice for Approved Persons

Schedule 1 Record keeping requirements

Sch 1.1 G

There are no record keeping requirements in *APER*.

Statements of Principle and Code of Practice for Approved Persons

Schedule 2 Notification requirements

Sch 2.1 G

The aim of the guidance in the following table is to give the reader a quick overall view of the relevant requirements for notification and reporting.

Sch 2.2 G

It is not a complete statement of those requirements and should not be relied on as if it were.

Sch 2.3 G

Handbook reference	Matter to be notified	Contents of notification	Trigger event	Time allowed
<i>Statement of Principle 4</i> (APER 2.1A.3R)	Any information of which the <i>FCA</i> or <i>PRA</i> would reasonably expect notice	Appropriate disclosure	Any information of which the <i>FCA</i> or <i>PRA</i> would reasonably expect notice	Appropriate

Statements of Principle and Code of Practice for Approved Persons

Schedule 3 Fees and required payments

Sch 3.1 G

There are no requirements for fees or other payments in *APER*.

Statements of Principle and Code of Practice for Approved Persons

Schedule 5 Rights of action for damages

Sch 5.1 G

There is no right of action under section 138D of the *Act* (Actions for damages) for breach of the *rules* in *APER*.

Statements of Principle and Code of Practice for Approved Persons

Schedule 6 Rules that can be waived

Sch 6.1 G

Section 138A (Modification or waiver of rules) does not apply to *APER*.

