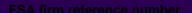
Firm name:





Intended EEA state(s) of establishment:

Purpose of this form

- auction regulation to establish a branch and/or use agents located in another EEA state.
- This form assists the FSA's supervision of a branch in another EEA state and its cooperation with regulators in other EEA states.

For general guidance on the exercise of passport rights by UK firms, please refer to SUP 13.

Filling in the form

- **1.** When completing the notification, use the TAB key to move from question to question and press SHIFT TAB to move back to the previous question. Once completed send to the email address below.
- 2. All relevant questions must be answered in full and all relevant documents attached.

If you have any questions in connection with Auction regulation, please call the Firm Contact Centre on: 0845 606 9966 (Call rates may vary) Overseas call +44 20 7066 1000 or E-mail: EmissionsTrading@fsa.gov.uk

Emissions Trading team
The Financial Services Authority
25 The North Colonnade
Canary Wharf
London, E14 5HS
Telephone: +44 (0)20 7066 1000

E-mail: EmissionsTrading@fsa.gov.uk

Contact details of the firm in the UK

1.1 Details of the person we can contact about this notification.

Contact name	
Position at firm	
Telephone number	+
Email address	@
Web address	www

2. Details about the establishment (branch and/or agents)

2.1 Branch details

Please provide the address of the proposed EEA branch(es)

Address			
Country			
Telephone number			
Email address at branch		@	

2.2 Please provide details of the branch manager(s)

Title	Forename	Surname	Direct E- mail:	Direct dial telephone

which	ve the proposed date	e for the business to start at the branch or the date o
usiness	started at the branch	n (dd/mm/yyyy).
		gent located in another <i>EEA state</i> to carry ding in that <i>EEA state</i> ?
∐Ye	s	
If 'Ye	s' please complete	e sections 2.5 – 2.7.
Agent d	etails	
Name of a	ugent	
Contact na	ame	
Address		
Postcode	<u> </u>	
Telephor	ne number	+44(0)
Email ad		

3. Declaration

Note to declaration

If you are submitting this notification electronically you do not need to provide a signature here. However, you still need to have the authority to make this notification on behalf of the firm.

It is a criminal offence to knowingly or recklessly give us information that is false or misleading. If necessary, please take appropriate professional advice before supplying information to us.

There will be a delay in processing the application if any information is inaccurate or incomplete. Failure to notify us immediately of any significant change to the information provided may result in a serious delay in the application process.

- I understand it is a criminal offence to knowingly or recklessly give the FSA information that is false or misleading.
- I confirm that the information in this form is accurate and complete to the best of my knowledge and belief.
- I confirm that I am authorised to sign on behalf of the firm.

Name		
Position		
Telephone		
E-mail		
Signature		
Date	dd/mm/yy	

Data protection

For the purposes of complying with the Data Protection Act 1998, the personal information in this form will be used by the FSA to discharge its statutory functions under the *auction regulation* and other relevant legislation and may be disclosed to third parties for those purposes.

Where to send this form

Please email the completed form, together with any required documentation to the Emissions Trading team at: $\underline{\text{EmissionsTrading@fsa.gov.uk}}$