

**TRAINING AND COMPETENCE SOURCEBOOK (AMENDMENT No 11)  
INSTRUMENT 2023**

**Powers exercised**

- A. The Financial Conduct Authority (“the FCA”) makes this instrument in the exercise of the following powers and related provisions of the Act:
- (1) section 137A (The FCA’s general rule-making power);
  - (2) section 137T (General supplementary powers); and
  - (3) section 138C (Evidential provisions).
- B. The rule-making powers listed above are specified for the purpose of section 138G(2) (Rule-making instruments) of the Act.

**Commencement**

- C. This instrument comes into force on 1 April 2023.

**Amendments to the Handbook**

- D. The Glossary of definitions is amended in accordance with Annex A to this instrument.
- E. The Training and Competence sourcebook (TC) is amended in accordance with Annex B to this instrument.

**Notes**

- F. In the Annexes to this instrument, the “notes” (indicated by “**Note:**” or “*Editor’s note:*”) are included for the convenience of readers, but do not form part of the legislative text.

**Citation**

- G. This instrument may be cited as the Training and Competence sourcebook (Amendment No 11) Instrument 2023.

By order of the Board  
23 February 2023

## Annex A

### Amendments to the Glossary of definitions

Amend the following definition as shown. Underlining indicates new text.

[*Editor's note*: the date referred to in the amendment below refers to the date that the The London Institute of Banking & Finance ceased to be an accredited body (on 31 March 2023). The London Institute of Banking & Finance Limited is approved as a recognised accredited body from the date this instrument takes effect (1 April 2023).]

*accredited body* any of the following bodies recognised by the *FCA* for the purpose of providing the independent verification required under *TC 2.1.27R*

...

(f) The London Institute of Banking & Finance (accredited body until 31 March 2023);

(ff) The London Institute of Banking & Finance Limited;

...

## Annex B

## Amendments to Training and Competence sourcebook (TC)

In this Annex, underlining indicates new text.

**App**      **Appropriate Qualification tables**

**App 4.1**   **Appropriate Qualification tables**

...

Extent to which the qualification meets the qualification requirement in relation to non-RDR activities

...

App      G      ...  
4.1.1C

App      E      Part 2: Appropriate Qualifications Tables  
4.1.1D      ...

Qualification provider	Qualification	Activity Number(s)	Key
...			
The Institute of Banking in Ireland (Formerly the Chartered Institute of Bankers in Ireland)	Fellow or Associate	15, 16, 17, 18, 19	4
	Professional Certificate in International Investment Fund Services	15, 16, 17	6
<u>The London Institute of Banking &amp; Finance Limited</u> <u>(From 1 April 2023)</u> <u>Until 31 March 2023, the qualifications were provided by The London</u>	Diploma for Financial Advisers (post 2010 examination standards)	4 and 6	a
	...		

Institute of Banking & Finance (formerly the ifs University College and the ifs School of Finance/Chartered Institute of Bankers)			
...			