

PERIODIC FEES (2023/2024) AND OTHER FEES INSTRUMENT 2023**Powers exercised**

- A. The Financial Conduct Authority (“the FCA”) makes this instrument in the exercise of the powers and related provisions in or under the following:
- (1) the Financial Services and Markets Act 2000 (“the Act”):
 - (a) section 73A (Part 6 Rules);
 - (b) section 137A (The FCA’s general rules);
 - (c) section 137SA (Rules to recover expenses relating to the single financial guidance body);
 - (d) section 137SB (Rules to recover debt advice expenses incurred by the devolved authorities);
 - (e) section 137T (General supplementary powers);
 - (f) section 139A (Power of the FCA to give guidance);
 - (g) section 234 (Industry funding);
 - (h) section 333T (Funding of action against illegal money lending); and
 - (i) paragraph 23 (Fees) in Part 3 (Penalties and Fees) of Schedule 1ZA (The Financial Conduct Authority);
 - (2) regulation 118 (Costs of supervision) of the Payment Services Regulations 2017 (SI 2017/752);
 - (3) regulation 59 (Costs of supervision) of the Electronic Money Regulations 2011 (SI 2011/99);
 - (4) paragraph 12K (Power to charge fees) of Part 1A of Schedule 3 and paragraph 35 (Power to charge fees) of Part 3 of Schedule 3 to the Electronic Money, Payment Services and Payment Systems (Amendment and Transitional Provisions) (EU Exit) Regulations 2018 (SI 2018/1201);
 - (5) regulation 46 of and paragraph 5 of Schedule 1 (Fees) to the Regulated Covered Bond Regulations 2008 (SI 2008/346);
 - (6) article 25 (Application of provisions of the Act to the FCA in respect of its supervision of consumer buy-to-let mortgage firms) of the Mortgage Credit Directive Order 2015 (SI 2015/910);
 - (7) regulation 40 (FCA: penalties, fees and exemption from liability in damages) of the Data Reporting Services Regulations 2017 (SI 2017/699);
 - (8) regulation 26 (FCA: penalties, fees and exemption from liability in damages) of the Financial Services and Markets Act 2000 (Benchmarks) Regulations 2018 (SI 2018/135);
 - (9) paragraph 11 (Penalties and fees) of Schedule 1 and paragraph 4(7) of

Schedule 2 to the Securitisation Regulations 2018 (SI 2018/1288);

- (10) regulation 102 (Costs of supervision) of the Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 (SI 2017/692);
 - (11) regulation 27 (Costs of supervision) of the Oversight of Professional Body Anti-Money Laundering and Counter Terrorist Financing Supervision Regulations 2017 (SI 2017/1301);
 - (12) regulations 206 (Meaning of "qualifying functions" in this Part) and 208 (Fees: Financial Conduct Authority) of the Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019 (SI 2019/632); and
 - (13) regulation 63 (power to charge fees) of the EEA Passport Rights (Amendment, etc. and Transitional Provisions) (EU Exit) Regulations 2018 (SI 2018/1149).
- B. The rule-making powers listed above are specified for the purpose of section 138G (Rule-making instruments) of the Act.
- C. As required by section 137SA(5) of the Act, the Secretary of State has consented to rules made under that section and, as required by section 137SB(5) of the Act, the Treasury has consented to rules made under that section.

Commencement

- D. This instrument comes into force on 4 July 2023.

Amendments to the Handbook

- E. The Fees manual (FEES) is amended in accordance with the Annex to this instrument.

Notes

- F. In the Annex to this instrument, the "notes" (indicated by "*Editor's Note*:", "Note:" or "**Note**") are included for the convenience of readers but do not form part of the legislative text.

Citation

- G. This instrument may be cited as the Periodic Fees (2023/2024) and Other Fees Instrument 2023.

By order of the Board
29 June 2023

Annex

Amendments to the Fees manual (FEES)

In this Annex, underlining indicates new text and striking through indicates deleted text.

3 Application, Notification and Vetting Fees

...

3 Annex 16R Fees for an application for variation of permission

The fee relevant to the application is due on or before the date the application is made.

	Application type	Applicable pricing category in FEES 3 Annex 1AR
...		
5	Credit-related permissions	
	...	
	(e) <i>Community finance organisations and credit unions</i>	50% of the highest pricing category relevant to the application
...		

...

4 Periodic fees

...

4.2 Obligation to pay periodic fees

...

Extension of Time

...

4.2.11 R Table of periodic fees payable to the FCA

1 Fee payer	2 Fee payable	3 Due date	4 Events occurring during the period leading to modified periodic fee
...			
<i>Proxy advisor</i>	<p>(1) £5,000</p> <p>(2) For a <i>proxy advisor</i> which is already trading on 1 April 2020, the amount specified in (1) upon notifying the <i>FCA</i>.</p> <p>(3) For a <i>proxy advisor</i> which starting trading after 1 April 2020, the fee payable is pro-rated in proportion to the <i>months</i> remaining in the financial year 1 April – 31 March, including the month of notification.</p> <p><u>Fees 4 Annex 11R</u></p>	<p>(1) At the time of notification</p> <p>(2) For a <i>proxy advisor</i> which has already notified the <i>FCA</i>, within 30 days of the date of the invoice</p> <p><u>Within 30 days of the date of the invoice</u></p>	Not applicable

...

4 Annex 1AR FCA activity groups, tariff bases and valuation dates

...

Part 2

...

Activity group	Fee payer falls in the fee block if:
A.0 FCA minimum fee	<p>(1) it is in at least one of the fee blocks under Part 1; and</p> <p>(2) it is not:</p> <ul style="list-style-type: none"> (a) a UK ISPV; or (b) a firm whose only permission is operating a dormant asset fund; or (c) a firm exclusively carrying on credit-related regulated activities or; (d) a funeral plan intermediary or provider in fee block A.23.

...

4 Annex 2AR FCA Fee rates for the period from 1 April ~~2022~~ 2023 to 31 March ~~2023~~ 2024

Part 1

...

Activity group	Fee payable	
A.1	Band width (£million of Modified Eligible Liabilities (MELs))	Fee (£/£m or part £m of MELs)
		General Periodic fee
	>10 - 140	14.526 <u>14.836</u>
	>140 - 630	14.526 <u>14.836</u>
	>630 - 1,580	14.526 <u>14.836</u>
	>1,580 - 13,400	18.158 <u>18.545</u>
	>13,400	23.968 <u>24.479</u>
The tariff rates in A.1 are not relevant for the permissions relating to operating a dormant asset fund.		

	Instead, a flat fee of £6,762 is payable in respect of these <i>permissions</i> .	
A.2	Band width (No. of mortgages and/or home finance transactions)	Fee (£/mortgage)
	>50	2.877 <u>3.145</u>
A.3	Gross written premium for fees purposes (GWP)	Periodic fee
	Band Width (£million of GWP)	Fee (£/m or part £m of GWP)
	>0.5	351.76 <u>344.20</u>
	PLUS	
	Best estimate liabilities for fees purposes (BEL)	General Periodic fee
	Band Width (£million of BEL)	Fee (£/£m or part £m of BEL)
	>1	49.47 <u>21.70</u>
	For <i>UK ISPVs</i> the tariff rates are not relevant and a flat fee of £547.00 is payable in respect of each <i>FCA</i> financial year (the 12 <i>months</i> ending 31 March).	
A.4	Gross written premium for fees purposes (GWP)	General Periodic fee
	Band Width (£million of GWP)	Fee (£/£m or part £m of GWP)
	>1	220.78 <u>238.93</u>
	PLUS	
	Best estimate liabilities for fees purposes (BEL)	General Periodic fee
	Band Width (£million of BEL)	Fee (£/£m or part £m of BEL)
	>1	42.55 <u>15.898</u>
A.5	Band Width (£million of Active Capacity (AC))	Fee (£/£m or part £m of AC)

	>50	6.20 <u>5.30</u>
A.6	Flat fee (£)	372,052.78 <u>400,692.84</u>
A.7	For class 1(c), (2), (3) and (4) <i>firms</i> :	
	Band Width (£million of Funds under Management (FuM))	Fee (£/£m or part £m of FuM)
	>10	4.353 <u>4.947</u>
For class 1(B) <i>firms</i> : the fee calculated as for class 1(C) <i>firms</i> above, less 15%. For class 1(A) <i>firms</i> : the fee calculated as for class 1(C) <i>firms</i> above, less 50%.		
A.9	Band Width (£million of Gross Income (GI))	Fee (£/£m or part £m of GI)
	>1	937.33 <u>988.25</u>
A.10	Band Width (No. of traders)	Fee (£/person)
	>1	6,917.74 <u>8,069.40</u>
	For <i>firms</i> carrying on <i>auction regulation bidding</i> , the fee in A.10 is calculated as above less 20% for each trader that carries on <i>auction regulation bidding</i> but not <i>MiFID business bidding</i> or <i>dealing in investments as principal</i> .	
A.13	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	2.494 <u>2.47</u>
A.14	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	1.414 <u>1.54</u>
A.18	Band Width (£ thousands of Annual Income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	10.072 <u>10.455</u>
A.19	Band Width (£ thousands of Annual Income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	1.693 <u>1.727</u>

A.21	<i>Client money</i>	
	Band Width (£ <i>client money</i>) (CM) held	Fee (£/£ millions or part £ million of CM)
	less than £1 million	108.70 <u>99.09</u>
	an amount equal to or greater than £1 million but less than or equal to £1 billion	81.53 <u>74.32</u>
	more than £1 billion	54.35 <u>49.55</u>
	PLUS	
	<i>Safe custody assets</i>	
	Band Width (£ <i>safe custody assets</i>) (CA) held	Fee (£/£ millions or part £ million of CA)
	less than £10 million	0.374 <u>0.415</u>
	an amount equal to or greater than £10 million and less than or equal to £100 billion	0.2805 <u>0.3113</u>
	more than £100 billion	0.187 <u>0.2075</u>
	A.22	Band Width (No. of <i>appointed representatives</i>)
>0		266.00 for <i>appointed representatives</i> other than <i>introducer appointed representatives</i>
>0		80.00 for <i>introducer appointed representatives</i>
A.23	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	{tbe} <u>7.56</u>
	Band Width	Fee (£)

B. Service Companies	Annual income up to and including £100,000	1,202.00
	PLUS:	
	Band width	Fee (£/£thousand or part £ thousand of income)
	Annual income over £100,000	0.80 <u>0.99</u>
B. Regulated benchmark administrators	Band width	Fee (£)
	Annual income up to and including £100,000	1,222.00
	PLUS:	
	Band width	Fee (£/£ thousand or part £ thousand of income)
	Annual income over 100,000	1.46 <u>1.33</u>
B. Recognised investment exchanges	Band width	Fee (£)
	Annual income up to and including £10,000,000	116,081.00
	PLUS:	
	Band width	Fee (£/£ thousand or part £_thousand of income)
	Annual income over £10,000,000	2.63 <u>2.39</u>
B. Recognised auction platforms	61,878.00	
B. Recognised overseas investment exchanges	66,294.00	
B. MTF and OTF operators	Band width	Fee (£)
	Annual income up to and including £100,000	1,222.00

	PLUS:	
	Band width	Fee (£/£ thousand or part £ thousand of income)
	Annual income over £100,000	2.27 <u>1.65</u>
CC1. Credit-related regulated activities with limited permission	Band Width (£ thousands of annual income (AI))	Fee (£)
	0 – 10	350
	>10 – 100	700
	>100	1,000
	PLUS:	
		Fee (£/£ thousand or part £ thousand of AI)
	>250	0.534 <u>0.581</u>
CC2. Credit-related regulated activities	Band Width (£ thousands of annual income (AI))	Fee (£)
	0 – 50	1,000
	>50 – 100	1,250
	>100	1,500
	PLUS:	
		Fee (£)
	>250	1.495 <u>1.627</u>
	...	
CMC.	Band width (£ thousands of annual turnover)	Fee (£)
	0-50	531.00
	50-100	1,062.00
	>100	8.52 <u>0.91</u> per £ thousand or part per £ thousand

Part 2
...

Part 2(a) tariff rates (minimum fees) payable to the FCA by FCA- authorised persons		
A.0	(1)	£1,500 unless it is a <i>community finance organisation</i> with a tariff base of:
	(a)	up to and including 3 mortgages and/or <i>home finance transactions</i> , in which case a minimum fee of £187 is payable; or
	(b)	more than 3 but no more than 10 mortgages and/or <i>home finance transactions</i> , in which case a minimum fee of £634 is payable; or
	(c)	more than 10 but no more than 50 mortgages and/or <i>home finance transactions</i> , in which case a minimum fee of £1,175 is payable.
		...
AP.0		Periodic fees payable under fee blocks A.2, A.7 to A.19, A.21, A23 and CC.2 in Part 1 multiplied by rate £0.10193 <u>£0.10508</u> .

Part 2(b) tariff rates (minimum fees) payable to the FCA by PRA- authorised persons			Fee
A.0	(1)	Any PRA-authorized person except as set out in (2) and (3)	£750
		[deleted]	
		[deleted]	
		[deleted]	
		[deleted]	
	(2)	<i>Credit union</i> with a tariff base (Modified Eligible Liabilities) of:	
	(a)	£0 to £0.5million	£97
	(b)	£0.5million million to £2.0million	£327
	(c)	above £2 million.	£606

	(3)	<i>Non-directive friendly society</i> that meets the criteria of one of the following categories (a) to (c):				
			Activity group the firm falls into	Gross written premium for fees purposes for that activity	Best estimate liabilities for fees purposes for that activity	
		(a)	A.3 but not A.4	£0.5 million or less	Up to £1 million or less	£261
		(b)	A.4 but not A.3	£1 million or less	£1 million or less	£261
		(c)	Both A.3 and A.4			
			A.3	£0.5 million or less	£1 million or less	£261
			A.4	£1 million or less	£1 million or less	£261

...

4 Annex 4R **Periodic fees in relation to collective investment schemes, AIFs marketed in the UK, small registered UK AIFMs and money market funds payable for the period 1 April 2022 2023 to 31 March 2023 2024**

Part 1 – Periodic fees payable

Scheme type	Basic fee (£)	Total funds/sub-funds aggregate	Fund factor	Fee (£)
<i>ICVC,</i>	324.00	1-2	1	324.00 <u>150.00</u>
<i>AUT,</i>	<u>150.00</u>	3-6	2.5	810.00 <u>375.00</u>
<i>ACS,</i>		7-15	5	1,620 <u>750.00</u>
<i>LTIFs,</i>		16-50	11	3,564.00 <u>1,650.00</u>
Money market funds with effect from 21 July 2018		>50	22	7,128.00 <u>3,300.00</u>

<i>non-UK AIFs</i> recognised under section 272 of the <i>Act</i>	1,320.00	1-2	1	1,320.00 <u>600.00</u>
	<u>600.00</u>	3-6	2.5	3,300.00 <u>1,500.00</u>
		7-15	5	6,600.00 <u>3,000.00</u>
		16-50	11	14,520.00 <u>6,600.00</u>
		>50	22	29,040.00 <u>13,200.00</u>

...

Part 2 – Periodic fees for *AIFs* marketed in the *UK*, following a notification to the *FCA* under regulation 57, 58 or 59 of the *AIFMD UK regulation*

Kind of notification	Fee per <i>AIF</i> (£)
Notification under regulation 57 of the <i>AIFMD UK regulation</i>	365
Notification under regulation 58 of the <i>AIFMD UK regulation</i>	255
Notification under regulation 59 of the <i>AIFMD UK regulation</i>	365

Part 3 – Periodic fees paid by *small registered UK AIFM*

The annual fee for <i>small registered UK AIFMs</i> is £718

4 **Periodic fees for designated professional bodies: tariff base, valuation date and tariff rates**

Annex
5R

...

Part 2

This table sets out the tariff rates applicable to *designated professional bodies*

Fee payable in relation to 2022/23 <u>2023/24</u>	Amount payable
Minimum fee, payable by all <i>designated professional bodies</i>	£10,620

Variable fee, payable by <i>designated professional bodies</i> where the number of <i>exempt professional firms</i> regulated or supervised by a <i>designated professional body</i> is greater than 1	22.40 <u>28.12</u> multiplied by the total number of exempt <i>professional firms</i> in excess of 1
--	---

Note

The *Financial Services Register* includes details of *exempt professional firms* carrying out *insurance distribution activity*.

...

4 **Periodic fees in respect of payment services, electronic money issuance, regulated covered bonds, CBTL business, data reporting services, and third party verifiers and proxy advisers in relation to the period 1 April 2022 2023 to 31 March 2023 2024**

Annex 11R

This Annex sets out the periodic fees in respect of *payment services* carried on by *fee-paying payment service providers* under the *Payment Services Regulations* and electronic money issuance by *fee-paying electronic money issuers* under the *Electronic Money Regulations* and issuance of *regulated covered bonds* by issuers and *CBTL business* carried on by *CBTL firms* under the *MCD Order* and *data reporting services providers* under the *DRS Regulations*.

...

Part 2C – Activity group relevant to <i>data reporting service providers</i>	
Activity Group	Fee payer falls into this group if:
G.25 DRSP	it is a <i>data reporting services provider</i> .
<u>G.50</u>	<u>it is a <i>proxy adviser</i></u>

...	
Part 3	
This table indicates the tariff base for each fee-block. The tariff base is the means by which the <i>FCA</i> measures the amount of business conducted by <i>fee-paying payment service providers</i> , <i>fee-paying electronic money issuers</i> , <i>CBTL firms</i> , <i>data reporting services providers</i> , firms registered under the <i>Money Laundering Regulations</i> , <i>issuers of regulated covered bonds</i> and <i>third party verifiers</i> .	
Activity Group	Tariff base

...	
G.26 TPV	Not applicable
<u>G.50</u>	<u>Not applicable</u>

Part 4 – Valuation period	
This table indicates the valuation date for each fee-block. A <i>fee-paying payment service provider</i> , a <i>fee-paying electronic money issuer</i> , a <i>regulated covered bond issuer</i> and a <i>third party verifier</i> can calculate tariff data by applying the tariff bases set out in Part 3 with reference to the valuation dates shown in this table.	
Activity group	Valuation date
...	
G.26 TPV	Not relevant
<u>G.50</u>	<u>Not relevant</u>

Part 5 – Tariff rates		
Activity group	Fee payable in relation to 2022/23 <u>2023/24</u>	
G.2	Minimum fee (£)	558
	£ million or part £m of Modified Eligible Liabilities (MELS)	Fee (£/£m or part £m of MELS)
	> 0.1	0.340 <u>0.360</u>
G.3	Minimum fee (£)	558
	£ thousands or part thousand of Relevant Income	Fee (£/£thousand or part £thousand of Relevant Income)
	> 100	0.454 <u>0.419</u>
G.4	Flat fee (£)	579
G.5	As in G.3	

G.10	Minimum fee (£)	1,833
	£million or part m of average outstanding electronic money (AOEM)	Fee (£/£m, or part £m of AOEM)
	>5.0	12.80 <u>14.90</u>
G.11	Flat fee (£)	1,272
G.15	Minimum fee for the first registered <i>programme</i> (£)	98,265 <u>114,073</u>
	Minimum fee for all subsequent registered <i>programmes</i>	75% of minimum fee for first registered <i>programme</i>
	£million or part £m of <i>regulated covered bonds</i> issued in the 12 months ending on the valuation date.	Fee (£/£m or part £m of <i>regulated covered bonds</i> issued in the 12 months ending on the valuation date)
	>0.00	18.26 <u>11.64</u>
	...	
G.20	Flat fee (£)	469
G.21	Flat fee (£)	234
G.25	<i>Data reporting services providers.</i>	£[the] <u>£2.37</u> per £1,000 or part-£1,000, subject to a minimum payment of £[the] <u>£25,197</u>
G.26 TPV	Flat fee (£)	281
<u>G.50</u>	<u>Flat fee (£)</u>	<u>5,000</u>
	...	

...

**4
Annex
14R**

UKLA periodic fees for the period from 1 April ~~2022~~ 2023 to 31 March ~~2023~~ 2024

Part 1 Base fee

Activity group or invoice code (Note 1)		Description	Base fee payable (£)
E.1	Discontinued		
E.2	Premium listed issuer	<i>A listed issuer of equity shares and certificates representing shares with a premium listing (see Note 2)</i>	6,037
E.3	Standard listed issuer	<i>A listed issuer of shares and certificates representing certain securities with a standard listing and not with a premium listing (see Note 2)</i>	22,871
E.4	Discontinued		
E.5	Discontinued		
E.6	Non-listed issuer (in DTR)	<i>A non-listed issuer (in DTR)</i>	0
E.7	Primary information provider	<i>A primary information provider</i>	19,087
ES.01	Sponsor	<i>A sponsor (see Note 3)</i>	31,794
...			

Part 2 Variable fee additional to base fee			
Activity Group		Market capitalisation as at the last <i>business day</i> of the September prior to the <i>fee-year</i> in which the fee is payable in £million	Fee payable in £per £million or £part million
E.2	Premium listed issuer (as described in Part 1)	0 – 100	0
		> 100 – 250	33.302966 <u>48.522421</u>
		> 250 – 1,000	12.849266 <u>18.721381</u>
		> 1,000 – 5,000	7.909250 <u>11.523777</u>

	> 5,000 – 25,000	0.192930 <u>0.281099</u>
	> 25,000	0.062334 <u>0.090817</u>

4 Annex 15R Fees relating to the recognition of benchmark administrators and the endorsement of benchmarks for the period 1 April ~~2022~~ 2023 to 31 March ~~2023~~ 2024

Activity group	Fee payable
<i>A third country legal representative</i>	£14,412
<i>A benchmark endorser</i>	£8,452

4 Annex 16R Periodic fees for credit rating agencies, trade repositories and securitisation repositories

This Annex sets out the periodic fees in respect of *credit rating agencies*, *trade repositories* and *securitisation repositories*.

...		
Part 4 – Tariff rates		
Fee block	Activity group	Fee payable in relation to the fee year 2022/2023 <u>2023/24</u>
J.1	Registered <i>credit rating agencies</i> and third country certified credit rating agencies with applicable turnover of £8,399m <u>£8.868m</u> or less	Exempt
	Registered <i>credit rating agencies</i> with turnover above 8,399m <u>£8.868m</u>	£1.99 <u>£2.89</u> per £1k or part-£1k (applies to all turnover)
	Certified <i>credit rating agencies</i> with turnover above 8,399m <u>£8.868m</u>	£5,039 <u>£5,321.00</u>

J.2	Registered <i>trade repositories</i>	£ 10.54 <u>£11.04</u> per £1k or part-£1k, subject to a minimum payment of £25,197 <u>£26,604.00</u>
	Recognised <i>trade repositories</i>	£ 4,199 <u>£4,434.00</u>
J.3	Registered <i>securitisation repositories</i>	£ 10.54 <u>£11.04</u> per £1k or part-£1k subject to a minimum payment of £25,197 <u>£26,604.00</u>

4A Temporary Permissions Regime (TPR) and Financial Service Contracts Regime (FSCR) – periodic fees

...

4A TP persons periodic fees for the period from 1 April ~~2022~~ 2023 to 31 March ~~2023~~ 2024

Annex
1R

Part 1

Activity group	Fee payable	
A.1	Band Width (£ million of Modified Eligible Liabilities (MELs))	Fee (£/£m or part £m of MELs)
		General Periodic fee
	>10 – 140	14.526 <u>14.836</u>
	>140 – 630	14.526 <u>14.836</u>
	>630 – 1,580	14.526 <u>14.836</u>
	>1,580 – 13,400	18.158 <u>18.545</u>
	>13,400	23.968 <u>24.479</u>
A.2	Band Width (no. of mortgages and/or <i>home finance transactions</i>)	Fee (£/mortgage)
	>50	2.877 <u>3.145</u>
A.3	Gross written premium for fees purposes (GWP)	Periodic fee

	Band Width (£ million of GWP)	Fee (£/£m or part £m of GWP)
	>0.5	351.76 <u>344.20</u>
	PLUS	
	Best estimate liabilities for fees purposes (BEL)	General Periodic fee
	Band Width (£ million of BEL)	Fee (£/£m of part £m of BEL)
	>1	49.17 <u>21.70</u>
A.4	Gross written premium for fees purposes (GWP)	General Periodic fee
	Band Width (£ million of GWP)	Fee (£/£m or part £m of GWP)
	>1	220.78 <u>238.93</u>
	PLUS	
	Best estimate liabilities for fees purposes	General Periodic fee
	Band Width (£ million of BEL)	Fee (£/£m or part £m of BEL)
	>1	42.55 <u>15.898</u>
A.7	For class 1(c), (2), (3) and (4) firms:	
	Band Width (£ million of Funds under Management (FuM))	Fee (£/£m of part £m of FuM)
	>10	4.353 <u>4.947</u>
	Class 1(c) firms are defined in <i>FEES</i> 4 Annex 1A	
A.9	Band Width (£ million of Gross Income (GI))	Fee (£/£m of part £m of GI)
	>1	937.33 <u>988.25</u>
A.10	Band Width (no. of traders)	Fee (£/trader)
	>1	6,917.74 <u>8,069.40</u>

A.13	For class (2) firms	
	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	2.494 <u>2.47</u>
	For a <i>professional firm</i> in A.13 the fee is calculated as above less 10%.	
A.14	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	> 100	1.414 <u>1.54</u>
A.18	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	> 100	{tbe} <u>10.455</u>
A.19	Band Width (£ thousands of Annual Income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	1.693 <u>1.727</u>
CC.2	Band Width (£ thousands of annual income (AI))	Fee (£)
	0 - 50	750 <u>1,000</u>
	>50 - 100	1,000 <u>1,250</u>
	>100	1,151 <u>1,500</u>
	PLUS:	
		Fee (£/£ thousand or part £ thousand of AI)
	>250	1.495 <u>1.627</u>

Part 2

The table below shows the tariff rates (minimum fees) applicable to each of the fee blocks set out in Part 1 of *FEES* 4A Annex 1R other than fee-block CC2.

Activity group	Fee payable
----------------	-------------

A.0	£1,750 £1,500 unless it is a <i>TP firm</i> that also pays minimum fees set out in the PRA Rulebook, in which case it is £875 <u>£750</u>
-----	---

Part 3*TA PI firm or TA RAISP firm*

Activity group	Fee payable	
G.2	Minimum fee (£)	558
	£ million or part £ million of Modified Eligible Liabilities (MELs)	Fee (£/£m or part £m of MELs)
	>0.1	0.340 <u>0.360</u>
G.3	Minimum fee (£)	558
	£ thousands or part £ thousand of Relevant Income	Fee (£/£thousand or part £ thousand of Relevant Income)
	>100	0.454 <u>0.419</u>

Part 4*TA EMI firm*

Activity group	Fee payable	
G.10	Minimum fee (£)	1,833
	£ million or part £ million of average outstanding electronic money (AOEM)	Fee (£/£m or part £m of AOEM)
	>5.0	12.80 <u>14.90</u>

4A
Annex
2R

TPR funds periodic fees for the period from 1 April ~~2022~~ 2023 to 31 March ~~2023~~ 2024

Part 1

Scheme type	Basic fee (£)	Total funds/sub-funds aggregate	Fee (£)
<i>EEA UCITS</i> scheme recognised under Part 6 of The Collective Investment Schemes (Amendment etc.) (EU Exit) Regulations 2018	324.00 <u>150.00</u>	1-2	324.00 <u>150.00</u>
		3-6	810.00 <u>375.00</u>
		7-15	1,620.00 <u>750.00</u>
		16-50	3,564.00 <u>1,650.00</u>
		>50	7,128.00 <u>3,300.00</u>
Note:			
Schemes are charged according to the number of funds or sub-funds which a TP firm is operating and marketing in the UK as at 31 March immediately before the start of the period to which the fee applies. For example, for 2019/20 <u>2023/2024</u> fees a reference to 31 March means 31 March 2019 <u>2023</u> .			

Part 2

Scheme type	Fee (£)
<i>EEA AIF, EuVECA, EuSEF, or EEA LTIF</i> which may be marketed in the <i>UK</i> under Part 9A of The Alternative Investment Fund Managers (Amendment) (EU Exit) Regulations 2018	365

...

5 Financial Ombudsman Service Funding

...

5 Annual General Levy Payable in Relation to the Compulsory Jurisdiction for ~~2022/23~~ 2023/24**1R****Introduction: annual budget**

1. The *annual budget* for ~~2022/23~~ 2023/24 approved by the *FCA* is ~~£291.7m~~ £234.2m.

2. The total amount expected to be raised through the *general levy* in ~~2022/23~~ 2023/24 will be £106m.

Compulsory jurisdiction - general levy

Industry block	Tariff base	General levy payable by firm
1-Deposit acceptors, <i>home finance providers</i> , <i>home finance administrators</i> (excluding <i>firms</i> in block 14) and <i>dormant asset fund operators</i>	Number of accounts relevant to the activities in <i>DISP</i> 2.6.1R as at 31 December In the case of <i>dormant asset fund operators</i> , the tariff base is the number of eligible activated accounts (8).	£0.150 <u>£0.1261</u> per relevant account, subject to a minimum levy of £100
2-Insurers – general (excluding <i>firms</i> in blocks 13 & 15)	Gross written premium for fees purposes (GWP) as defined in <i>FEES</i> 4 Annex 1AR; or Relevant gross written premium (RGWP) notified to the <i>FCA</i> under <i>FEES</i> 5.4.1R(1A)	£0.434 <u>£0.3816</u> per £1,000 of GWP or RGWP, subject to a minimum levy of £100
3-The <i>Society</i> (of Lloyd's)	Not applicable	£104,739 <u>£105,410</u> to be allocated by the <i>Society</i>
4. Insurers – life (excluding <i>firms</i> in block 15)	Gross written premium for fees purposes (GWP) as defined in <i>FEES</i> 4 Annex 1AR; or Relevant gross written premium (RGWP) notified to the <i>FCA</i> under <i>FEES</i> 5.4.1R(1A).	£0.0509 per £1,000 of GWP or RGWP, subject to a minimum levy of £130

5. Portfolio managers (including those holding <i>client money/assets</i> and not holding <i>client money/assets</i>)	Flat fee	Levy of £210
6. Managers and depositaries of investment funds, and operators of collective investment schemes or pension schemes	Flat fee	Levy of £60
7. Dealers as principal	Flat fee	Levy of £75
8-Advisors, <i>arrangers</i> , dealers or brokers holding and controlling <i>client money</i> and/or assets	Annual income as defined in <i>FEES</i> 4 Annex 11A relating to <i>firm's relevant business</i> .	£0.592 <u>£0.383</u> per £1,000 of annual income subject to a minimum fee of £45
9-Advisors, <i>arrangers</i> , dealers or brokers not holding and controlling <i>client money</i> and/or assets	Annual income as defined in <i>FEES</i> 4 Annex 11A relating to <i>firm's relevant business</i> .	£0.42 <u>£0.265</u> per £1,000 of annual income subject to a minimum fee of £45
10-Corporate finance advisers	Flat fee	Levy of £55
11-fee-paying payment service providers (but excluding <i>firms</i> in any other Industry block except Industry block 18)	For <i>authorised payment institutions, registered account information service providers, electronic money issuers</i> (except for <i>small electronic money institutions</i>), the Post Office Limited, the Bank of England, government departments and local authorities, <i>TA EMI firms, TA PI firms</i> and <i>TA RAISP firms</i> , relevant income as described in <i>FEES</i> 4 Annex 11 Part 3.	£0.0044 per £1,000 of relevant income subject to a minimum levy of £75

	For <i>small payment institutions</i> and <i>small electronic money institutions</i> a flat fee	Levy of £35
13-Cash plan health providers	Flat fee	Levy of £65
14- <i>Credit unions</i>	Flat fee	Levy of £55
15- <i>Friendly societies</i> whose tax-exempt business represents 95% or more of their total relevant business	Flat fee	Levy of £65
16- <i>Home finance providers, advisers and arrangers</i> (excluding firms in blocks 13, 14 & 15)	Flat fee	Levy of £85
17 - General insurance distribution (excluding firms in blocks 13, 14 & 15)	<i>Annual income</i> (as defined in <i>MIPRU</i> 4.3) relating to <i>firm's relevant business</i>	£0.857 <u>£0.8840</u> per £1,000 of <i>annual income</i> (as defined in <i>MIPRU</i> 4.3) relating to <i>firm's relevant business</i> subject to a minimum levy of £100
18 - <i>fee-paying electronic money issuers</i>	For all <i>fee-paying electronic money issuers</i> except for <i>small electronic money institutions</i> , and <i>TA EMI firms</i> , average outstanding <i>electronic money</i> , as described in <i>FEES</i> 4 Annex 11 Part 3.	£0.0001 per £1,000 of average outstanding electronic money subject to a minimum levy of £40
	For <i>small electronic money institutions</i> , a flat fee	Levy of £50
19 - <i>Credit-related regulated activities</i> with <i>limited permission</i>	For <i>not-for-profit debt advice bodies</i> , a flat fee	Levy of £0
	For all other <i>firms</i> with <i>limited permission</i> , a flat fee	Levy of £35

20 - <i>Credit-related regulated activities</i>	Annual income as defined in <i>FEES</i> 4 Annex 11BR	Levy of £35 Plus £1.181 <u>£1.497</u> per £1,000 of annual income on income above £250,000
21 – <i>CBTL firms</i> that do not have <i>permission</i> to carry out any <i>regulated activities</i>	Flat fee	Levy of £35
22 – <i>designated credit reference agencies</i> (but excluding <i>firms</i> in any other <i>industry block</i>)	Flat fee	Levy of £75
23 – <i>designated finance platforms</i> (but excluding <i>firms</i> in any other <i>industry block</i>)	Flat fee	Levy of £75
24 – <i>claims management companies</i>	Annual income	Levy of £50 plus £1.20 <u>£0.78</u> per £1,000 of annual income
25 – <i>funeral plan intermediaries</i> and <i>funeral plan provider</i>	Annual income Flat fee	{the} Levy of £35

...

7A SFGB levies

...

7A SFGB money advice levy for the period from 1 April ~~2022~~ 2023 to 31 March ~~2023~~ 2024
Annex 1R**Part 1**

This table shows the *SFGB money advice levy* applicable to each activity group (fee-block).

Activity group	SFGB money advice levy payable	
A.1	Band Width (£ million of Modified Eligible Liabilities (MELs))	Fee (£/£m or part £m of MELs)

	>10	0.949 <u>0.897</u>
A.2	Band Width (no. of mortgages and/or <i>home finance transactions</i>)	Fee (£/mortgage)
	>50	0.449 <u>0.446</u>
A.3	Gross written premium for fees purposes (GWP)	
	Band Width (£ million of GWP)	Fee (£/£m or part £m of GWP)
	>0.5	21.38 <u>17.48</u>
	PLUS	
	Best estimate liabilities for fees purposes (BEL)	
	Band Width (£ million of BEL)	Fee (£/£m of part £m of BEL)
	>1	1.24 <u>1.15</u>
A.4	Gross written premium for fees purposes (GWP)	
	Band Width (£ million of GWP)	Fee (£/£m or part £m of GWP)
	>1	12.77 <u>11.64</u>
	PLUS	
	Best estimate liabilities for fees purposes (BEL)	
	Band Width (£ million of BEL)	Fee (£/£m or part £m of BEL)
	>1	0.726 <u>0.775</u>
A.5	Band Width (£ million of Active Capacity (AC))	Fee (£/£m or part £m of AC)
	>50	0.00
A.6	Flat levy	0.00

A.7	For class 1(c), (2), (3) and (4) <i>firms</i> :	
	Band Width (£ million of Funds under Management (FuM))	Fee (£/£m of part £m of FuM)
	>10	0.0840 <u>0.084</u>
	For class 1(B) <i>firms</i> : the fee calculated as for class 1(C) firms above, less 15%.	
	For class 1(A) <i>firms</i> : the fee calculated as for class 1(C) <i>firms</i> above, less 50%.	
	Class 1(A), (B) and (C) firms are defined in FEES 4 Annex 1AR.	
A.9	Band Width (£ million of Gross Income (GI))	Fee (£/£m of part £m of GI)
	>1	96.57 <u>81.04</u>
A.10	Band Width (no. of traders)	Fee (£/trader)
	>1	125.43 <u>128.36</u>
A.13	For class (2) firms	
	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	0.0487 <u>0.0482</u>
	For a <i>professional firm</i> in A.13 the fee is calculated as above less 10%.	
A.14	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	0.0186 <u>0.0174</u>
A.18	Band Width (£ thousands of Annual Income (AI))	Fee ((£/£ thousand or part £ thousand of AI)
	>100	0.135 <u>0.116</u>
A.19	Band Width (£ thousands of Annual Income (AI))	Fee (£/£ thousand or part £ thousand of AI)

	>100	0.0215 <u>0.0196</u>
A.21	Band Width (£ <i>client money</i>) (CM) held	Fee (£/£ millions or part £m of CM)
	less than £1 million	1.296 <u>1.108</u>
	an amount equal to or greater than £1 million but less than or equal to £1 billion	0.972 <u>0.831</u>
	more than £1 billion	0.648 <u>0.554</u>
	PLUS	
	<i>Safe custody assets</i>	
	Band Width (£ <i>safe custody assets</i>) (CA) held	Fee (£/£ millions or part £m of CA)
	less than £10 million	0.0045 <u>0.0047</u>
	an amount equal to or greater than £10 million and less than or equal to £100 billion	0.0034 <u>0.0035</u>
	more than £100 billion	0.0023 <u>0.0024</u>
G.3	Minimum fee (£)	10
	£ thousands or part £ thousand of Relevant Income	Fee (£/£thousand or part £ thousand of Relevant Income)
	>100	0.0262 <u>0.0209</u>
G.4	Flat fee (£)	10
G.10	Minimum fee (£)	10
	£ million or part £m of average outstanding electronic money (AOEM)	Fee (£/£m or part £m of AOEM)
	>5.0	2.472 <u>1.630</u>
G.11	Flat fee (£)	10
CC.1	Minimum fee (£)	10

	£ thousand of annual income (AI)	Fee (£/£ thousand or part £ thousand of AI)
	>250	0.1004 <u>0.0872</u>
CC.2	Minimum fee (£)	10
	£ thousands of annual income (AI)	Fee (£/£ thousand or part £ thousand of AI)
	>250	0.1004 <u>0.0872</u>
Notes		
(1) The definitions of fee-blocks G5 and G10 under Part 2 and Part 2A of <i>FEES 4</i> Annex 11R are modified, for the purposes of <i>FEES 7A</i> so that they exclude the Bank of England, government departments, local authorities, municipal banks and the National Savings Bank.		
(2) The definitions of those fee-blocks are further amended to exclude <i>EEA firms</i> and those which hold a <i>Part 4A permission</i> .		

Part 2	
(1)	This part sets out the minimum <i>SFGB money advice levy</i> applicable to the <i>firms</i> specified in (3) below.
(2)	The minimum <i>SFGB money advice levy</i> payable by any <i>firm</i> referred to in (3) is £10.
(3)	A <i>firm</i> is referred to in this paragraph if it falls within the following activity groups: A.1; A.2; A.3 (excluding <i>UK ISPVs</i>); A.4; A.5; A.7; A.9; A.10; A.12; A.13; A.14; A.18; A.19; G.3 and G.10.

7A
Annex
2R

SFGB debt advice levy for the period from 1 April ~~2022~~ 2023 to 31 March ~~2023~~ 2024

...

Part 4

This table shows the tariff rates applicable to each of the fee-blocks set out in Part 1

Activity group	SFGB debt advice levy payable
----------------	-------------------------------

A.2 Home finance providers and administrators	Band width (£million of secured debt) >0	Fee (£/£m or part £m of secured debt) 26.15 <u>27.05</u>
CC.3 Consumer credit lending	Band width (£million of value of lending) >0 (Note 1)	Fee (£/£m or part £m of value of lending) 167.76 <u>173.01</u>
Notes		
(1) <i>Credit unions and community finance organisations</i> do not pay any <i>SFGB debt advice levy</i> on the first £2,000,000 of value of lending.		

7A **SFGB pensions guidance levy for the period 1 April ~~2022~~ 2023 to 31 March**
Annex **~~2023~~ 2024**
3R

Activity group	SFGB pensions guidance levy payable	
A.1	Band width (£ million of modified eligible liabilities (MELs)) >10	Fee (£/£m or part £m of MELS) 3.239 <u>2.393</u>
A.4	Band width (£ million of gross written premium for fees purposes (GWP)) >1	Fee (£/£m or part £m of GWP) 90.49 <u>69.99</u>
A.7	For class 1(B), 1 (C), (2) and (3) firms: Band width (£ million of funds under management (FuM)) >10	Fee (£/£m or part £m of FuM) 1.0201 <u>0.833</u>

Activity group	SFGB pensions guidance levy payable	
A.9	Band width (£ million of gross income (GI)) >1	Fee (£/£m or part £m of GI) 574.63 <u>433.81</u>
A.13	Band width (£ thousands of annual income (AI)) >100	Fee (£/£ thousand or part of £ thousand of AI) 0.1682 <u>0.1226</u>

7B The DA levy

...

7B DA levy for the period from 1 April ~~2022~~ 2023 to 31 March ~~2023~~ 2024**Annex
1R**

...

Part 4

This table shows the tariff rates applicable to each of the fee-blocks set out in Part 1.

Activity group	DA levy payable	
A.2 Home finance providers and administrators	Band width (£million of secured debt) >0	Fee (£/£m or part £m of secured debt) 3.791 <u>3.75</u>
CC.3 Consumer credit lending	Band width (£million of value of lending) >0 (Note 1)	Fee (£/£m or part £m of value of lending) 24.33 <u>23.96</u>
Note: <i>Credit unions and community finance organisations do not pay any DA levy on the first £2,000,000 of value of lending.</i>		

7C Temporary Permissions Regime (TPR) and Financial Service Contracts Regime (FSCR) – Single Financial Guidance Body Levy

...

**7C TPR SFGB money advice levy for the period from 1 April ~~2022~~ 2023 to 31
Annex March ~~2023~~ 2024
1R**

This table shows the *TPR SFGB money advice levy* applicable to each activity group (fee-block).

Activity group	TPR SFGB money advice levy payable	
Part 1 <i>TP firms</i>		
A.1	Band Width (£ million of Modified Eligible Liabilities (MELs))	Fee (£/£m or part £m of MELs)
	>10	0.949 <u>0.897</u>
A.2	Band Width (no. of mortgages and/or <i>home finance transactions</i>)	Fee (£/mortgage)
	>50	0.449 <u>0.446</u>
A.3	Gross written premium for fees purposes (GWP)	
	Band Width (£ million of GWP)	Fee (£/£m or part £m of GWP)
	>0.5	21.38 <u>17.48</u>
	PLUS	
	Best estimate liabilities for fees purposes (BEL)	
	Band Width (£ million of BEL)	Fee (£/£m of part £m of BEL)
>1	1.24 <u>1.15</u>	
A.4	Gross written premium for fees purposes (GWP)	
	Band Width (£ million of GWP)	Fee (£/£m or part £m of GWP)
	>1	12.77 <u>11.64</u>

	PLUS	
	Best estimate liabilities for fees purposes (BEL)	
	Band Width (£ million of BEL)	Fee (£/£m or part £m of BEL)
	>1	0.726 <u>0.775</u>
A.7	For class 1(c), (2), (3) and (4) <i>firms</i> :	
	Band Width (£ million of Funds under Management (FuM))	Fee (£/£m of part £m of FuM)
	>10	0.084 <u>0.084</u>
	Class 1(A), (B) and (C) <i>firms</i> are defined in FEES 4 Annex 1AR.	
A.9	Band Width (£ million of Gross Income (GI))	Fee (£/£m of part £m of GI)
	>1	96.57 <u>81.04</u>
A.10	Band Width (no. of traders)	Fee (£/trader)
	>1	125.43 <u>128.36</u>
A.13	For class (2) <i>firms</i>	
	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	0.0487 <u>0.0482</u>
	For a <i>professional firm</i> in A.13 the fee is calculated as above less 10%.	
A.14	Band Width (£ thousands of annual income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	0.0186 <u>0.0174</u>
A.18	Band Width (£ thousands of Annual Income (AI))	Fee ((£/£ thousand or part £ thousand of AI)
	>100	0.1350 <u>0.116</u>
A.19	Band Width (£ thousands of Annual Income (AI))	Fee (£/£ thousand or part £ thousand of AI)
	>100	0.0215 <u>0.0196</u>

CC.2	Minimum fee (£)	10
	£ thousands of annual income (AI)	Fee (£/£ thousand or part £ thousand of AI)
	>250	0.1004 <u>0.0872</u>
Part 2 <i>TA PI firms</i> and <i>TA RAISP firms</i>		
G.3	Minimum fee (£)	10
	£ thousands or part £ thousand of Relevant Income	Fee (£/£thousand or part £ thousand of Relevant Income)
	>100	0.0262 <u>0.0209</u>
Part 3 <i>TA EMI firms</i>		
G.10	Minimum fee (£)	10
	£ million or part £m of average outstanding electronic money (AOEM)	Fee (£/£m or part £m of AOEM)
	>5.0	2.472 <u>1.630</u>
Part 4		
<p>(1) This Part sets out the minimum <i>TPR SFGB money advice levy</i> applicable to the <i>TPR firms</i> specified in (3) below.</p> <p>(2) The minimum <i>TPR SFGB money advice levy</i> payable by any <i>firm</i> referred to in (3) is £10.</p> <p>(3) A <i>TP firm</i> is referred to in this paragraph if it falls within the following activity groups: A.1; A.2; A.3; A.4; A.7; A.9; A.10; A.13; A.14; A.18; and A.19.</p>		

7C
Annex
2R

TPR SFGB debt advice levy for the period from 1 April ~~2022~~ 2023 to 31 March ~~2023~~ 2024

This table shows the *TPR SFGB debt advice levy* applicable to each activity group (fee-block).

...

Part 4

This table shows the tariff rates applicable to each of the fee-blocks set out in Part 1.

Activity group	TPR SFGB debt advice levy payable	
A.2 Home finance providers and administrators	Band width (£million of secured debt)	Fee (£/£m or part £m of secured debt)
	>0	26.15 <u>27.05</u>
CC.3 Consumer credit lending	Band width (£million of value of lending)	Fee (£/£m or part £m of value of lending)
	>0 (Note 1)	167.76 <u>173.01</u>
Note		
(1) Credit unions and community finance organisations do not pay any TPR SFGB debt advice levy on the first £2,000,000 of value of lending.		

7C TPR SFGB pensions guidance levy for the period 1 April ~~2022~~ 2023 to 31
Annex March ~~2023~~ 2024
3R

This table shows the TPR SFGB pensions guidance levy applicable to each activity group (fee-block).

Activity group	TPR SFGB pensions guidance levy payable	
<i>TP firms</i>		
A.1	Band width (£ million of modified eligible liabilities (MELs))	Fee (£/£m or part £m of MELS)
	>10	3.239 <u>2.393</u>
A.4	Gross written premium for fees purposes (GWP)	
	Band Width (£ million of GWP)	Fee (£/£m or part £m of GWP)
	>1	90.49 <u>69.99</u>

Activity group	TPR SFGB pensions guidance levy payable	
A.7	For class 1(B), 1 (C), (2) and (3) firms:	
	Band width (£ million of funds under management (FuM))	Fee (£/£m or part £m of FuM)
	>10	1.0201 <u>0.833</u>
A.9	Band width (£ million of gross income (GI))	Fee (£/£m or part £m of GI)
	>1	574.63 <u>433.81</u>
A.13	Band width (£ thousands of annual income (AI))	Fee (£/£ thousand or part of £ thousand of AI)
	>100	0.1682 <u>0.1226</u>

7D Temporary Permissions Regime (TPR) – Devolved Authorities levy

...

7D Annex 1R TPR DA levy for the period from 1 April ~~2022~~ 2023 to 31 March ~~2023~~ 2024

...

Part 4

This table shows the tariff rates applicable to each of the fee-blocks set out in Part 1.

Activity group	TPR DA levy payable	
A.2 Home finance providers and administrators	Band width (£ million of secured debt)	Fee (£/£m or part £m of secured debt)

	>0	3.791 <u>3.75</u>
CC.3 Consumer credit lending	Band width (£ million of value of lending)	Fee (£/£m or part £m of value of lending)
	>0 (Note 1)	24.33 <u>23.96</u>
Note		
(1) <i>Credit unions and community finance organisations</i> do not pay any <i>TPR DA</i> levy on the first £2,000,000 of value of lending.		

...

13 Illegal money lending levy

...

13 Annex 1R Illegal money lending (IML) levy for ~~2022/23~~ 2023/24

Limited permission (fee-block CC1):	£5 flat rate	
Full authorisation (fee-block CC2):	Up to £250,000 consumer credit income:	£10
	Over £250,000 consumer credit income:	£10 + 0.270 <u>0.274</u> per £1,000

13A Temporary Permissions Regime (TPR) and Financial Service Contracts Regime (FSCR) - Illegal money lending levy

...

13A Annex 1R TPR illegal money lending (IML) levy for ~~2022/23~~ 2023/24

Activity group	Description	Fee (£)
----------------	-------------	---------

Activity group CC2. Credit-related regulated activities:	Up to £250,000 consumer credit income:	10
	Over £250,000 consumer credit income:	10 + £0.270 <u>£0.274</u> per £1,000

...

App 2 Office for Professional Body Anti-money laundering Supervision fees

...

**App 2 Periodic fees imposed under Regulation 27 of the OPBAS Regulations:
Annex 2 tariff base, review date, tariff rates**

...

Part 3

This table sets out the tariff rates applicable to **professional body supervisors**.

Fee payable in relation to 2021/22 2023/24	Amount payable (£)
Minimum fee, payable by all professional body supervisors subject to the OPBAS Regulations .	£5,310
Variable fee, payable by professional body supervisors where the number of supervised individuals is 6,000 or more.	£ 35.19 <u>£38.65</u> multiplied by the total number of supervised individuals in excess of the threshold of 6,000. [See Note]
[Note: reference to “the number of supervised individuals” is to those supervised individuals calculated in accordance with Part 1.]	

...

App 3 Fees payable by persons registered under the Money Laundering Regulations that are not cryptoasset businesses**App 3.1 Fees for persons registered under the Money Laundering Regulations that are not cryptoasset businesses**

...

App
3.1.2

(1)	Registration fee:		
	£100 <u>Category 1</u>		
(2)	Periodic fee:		
	Activity group	Fee-payer falls in the activity group if:	Fee payable in 2022/23 2023/24
	G.1	it is registered with the <i>FCA</i> under the <i>Money Laundering Regulations</i> or any predecessor legislation and it is not an <i>authorised person</i> or a <i>cryptoasset business</i> or otherwise registered with the <i>FCA</i> .	£1,062

[**Note:** Regulation 102 of the *Money Laundering Regulations*]

App 4 Fees payable by cryptoasset businesses registered under the Money Laundering Regulations

...

App 4 Periodic fees payable by cryptoasset businesses registered under the Money Laundering Regulations
Annex 2

[**Note:** Regulation 102 of the *Money Laundering Regulations*]

(1) This table sets out the tariff base relating to the fee-block for *cryptoasset businesses* registered with the *FCA* under the *Money Laundering Regulations*. The tariff base in this Part is the means by which the *FCA* calculates the annual periodic fee payable to it by a *cryptoasset business* registered with the *FCA* under the *Money Laundering Regulations*.

Fee-block	Fee-payer	Tariff base	Valuation date
G.30	<i>Cryptoasset business</i> registered with the <i>FCA</i> under the <i>Money Laundering Regulations</i>	Annual income, as defined in <i>FEES</i> Appendix 4 Annex 3	The business's financial year ended in the calendar year ending 31 December before the start of the period to which the fee applies

(2) This table sets out the tariff rates applicable to *cryptoasset businesses* registered with the *FCA* under the *Money Laundering Regulations*.

Tariff rates in relation to 2022/23 <u>2023/24</u>	
Fee payable	Amount payable
Minimum fee, payable by all <i>cryptoasset businesses</i>	£2,000
Variable fee, payable in addition to the minimum fee, on income above £100,000	£4.03 <u>£13.10</u> per £1,000 or part-£1,000