

**TRAINING AND COMPETENCE SOURCEBOOK (QUALIFICATIONS
AMENDMENTS NO 14) INSTRUMENT 2016**

Powers exercised

- A. The Financial Conduct Authority makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 (“the Act”):
- (1) section 137A (The FCA’s general rules);
 - (2) section 137T (General supplementary powers); and
 - (3) section 138C (Evidential provisions).
- B. The rule-making powers listed above are specified for the purpose of section 138G(2) (Rule-making instruments) of the Act.

Commencement

- C. This instrument comes into force on 18 March 2016.

Amendments to the Handbook

- D. The Training and Competence sourcebook (TC) is amended in accordance with the Annex to this instrument.

Citation

- E. This instrument may be cited as the Training and Competence Sourcebook (Qualifications Amendments No 14) Instrument 2016.

By order of the Board
17 March 2016

Annex

Amendments to the Training and Competence sourcebook (TC)

In this Annex, underlining indicates new text.

Appendix 4 Appropriate Qualification tables

App 4.1.1E

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Part 2: Appropriate Qualifications Tables

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Qualification provider	Qualification	Activity Number(s)	Key
...	...		
Chartered Institute for Securities and Investment (CISI) - (Formerly the Securities and Investment Institute (SII); formerly The Securities Association)	Investment Operations Certificate - Asset Servicing Module	15, 16, 17	6
	<u>Investment Operations Certificate – Client Money and Assets</u>	<u>16</u>	<u>6</u>
	...		
Chartered Insurance Institute	...		
	Financial Planning Certificate - Paper 1	15, 16, 17, 18, 19	4
		15, 16, 17, 18, 19	5
	CF1 - UK financial services, regulation and ethics	<u>10, 14, 15, 16, 17, 18, 19</u>	<u>3, 4</u>
<u>10, 14, 15, 16, 17, 18, 19</u>		<u>3, 5</u>	

	RO1 Paper: Regulation and Ethics	<u>10, 14, 15,</u> 16, 17, 18, 19	<u>3,</u> 4
		<u>10, 14, 15,</u> 16, 17, 18, 19	<u>3,</u> 5

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