

**VOLUNTARY JURISDICTION RULES (ADVISING ON CONVERSION OR
TRANSFER OF PENSION BENEFITS) INSTRUMENT 2015**

Powers exercised by the Financial Ombudsman Service Limited

- A. The Financial Ombudsman Service makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000:
- (a) section 227 (Voluntary jurisdiction);
 - (b) paragraph 8 (Information, advice and guidance) of Schedule 17;
 - (c) paragraph 18 (Terms of reference to the scheme) of Schedule 17.
- B. The making of these rules by the Financial Ombudsman Service Limited is subject to the approval of the Financial Conduct Authority.

Commencement

- C. This instrument comes into force on 24 April 2015.

Amendments to the Handbook

- D. The Dispute Resolution: Complaints sourcebook (DISP) is amended in accordance with the Annex to this instrument.

Citation

- E. This instrument may be cited as the Voluntary Jurisdiction Rules (Advising on Conversion or Transfer of Pension Benefits) Instrument 2015.

By order of the Board of the Financial Ombudsman Service
20 April 2015

Approved by the Board of the Financial Conduct Authority
23 April 2015

Annex

Amendments to the Dispute Resolution: Complaints sourcebook (DISP)

In this Annex, underlining indicates new text and striking through indicates deleted text.

2 Jurisdiction of the Financial Ombudsman Service

...

2.5 To which activities does the Voluntary Jurisdiction apply?

2.5.1 R The *Ombudsman* can consider a *complaint* under the *Voluntary Jurisdiction* if:

...

(2) it relates to an act or omission by a *VJ participant* in carrying on one or more of the following activities:

...

(c) activities which (at ~~22 July 2014~~ 24 April 2015) would be covered by the *Compulsory Jurisdiction*, if they were carried on from an establishment in the *United Kingdom* (these activities are listed in *DISP 2 Annex 1G*);

...

...

2 Annex 1 G Regulated Activities for the Voluntary Jurisdiction at ~~22 July 2014~~ 24 April 2015

The activities which were covered by the *Compulsory Jurisdiction* (at ~~1 April 2014~~ 24 April 2015) were:

...

(h) the regulated activity specified in article 53E (advising on conversion or transfer of pension benefits) of the *Regulated Activities Order*;

...

The activities which (at ~~22 July 2014~~ 24 April 2015) were *regulated activities* were, in accordance with section 22 of the *Act* (The classes of activity and categories of investment), any of the following activities specified in Part II of the *Regulated Activities Order*:

...