

**COMPULSORY JURISDICTION RULES (ADVISING ON CONVERSION OR  
TRANSFER OF PENSION BENEFITS) INSTRUMENT 2015**

**Powers exercised by the Financial Conduct Authority**

- A. The Financial Conduct Authority makes this instrument in the exercise of section 226 (Compulsory jurisdiction) of the Financial Services and Markets Act 2000 (the “Act”).
- B. The rule-making power listed above is specified for the purpose of section 138G(2) (Rule-making instruments) of the Act.

**Commencement**

- C. This instrument comes into force on 6 April 2015.

**Amendments to the Handbook**

- D. The Dispute Resolution: Complaints sourcebook (DISP) is amended in accordance with Annex A to this instrument.

**Citation**

- E. This instrument may be cited as the Compulsory Jurisdiction Rules (Advising on Conversion or Transfer of Pension Benefits) Instrument 2015.

By order of the Board of the Financial Conduct Authority  
4 April 2015

## Annex A

### Amendments to the Dispute Resolution: Complaints sourcebook (DISP)

In this Annex, underlining indicates new text.

## 2 Jurisdiction of the Financial Ombudsman Service

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### 2.3 To which activities does the Compulsory Jurisdiction apply?

Activities by firms

- 2.3.1 R The *Ombudsman* can consider a *complaint* under the *Compulsory Jurisdiction* if it relates to an act or omission by a *firm* in carrying on one or more of the following activities:

...

(1B) the regulated activity specified in article 53E (advising on conversion or transfer of pension benefits) of the *Regulated Activities Order*;

...