

FEES (ISSUERS) (LATE PUBLICATION OF REPORTS) INSTRUMENT 2014

Powers exercised

- A. The Financial Conduct Authority makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 (“the Act”):
- (1) section 73A (Part 6 rules);
 - (2) section 137A (The FCA’s general rules);
 - (3) section 137T (General supplementary powers); and
 - (4) paragraph 23 (Fees) of Part 3 (Penalties and Fees) of Schedule 1ZA (The Financial Conduct Authority).
- B. The rule-making powers listed above are specified for the purpose of section 138G (Rule-making instruments) of the Act.

Commencement

- C. This instrument comes into force on 1 April 2014.

Amendments to the FCA Handbook

- D. The Fees manual (FEES) is amended in accordance with the Annex to this instrument.

Citation

- E. This instrument may be cited as the Fees (Issuers) (Late Publication of Reports) Instrument 2014.

By order of the Board of the Financial Conduct Authority
27 March 2014

Annex

Amendments to the Fees manual (FEES)

In this Annex, underlining indicates new text and striking through indicates deleted text.

3 Application fees

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3.2 Obligation to pay fees

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3.2.7 R Table of application, notification, ~~and~~ vetting and other fees payable to the FCA

<u>Part 1: Application, notification and vetting fees</u>		
(1) Fee payer	(2) Fee payable	(3) Due date
...

<u>Part 2: Other fees</u>		
<u>(1) Fee payer</u>	<u>(2) Fee payable</u>	<u>(3) Due date</u>
<p>(a)</p> <p><u>(i) An issuer which has not made public its annual financial report before the latest time specified in DTR 4.1.3R.</u></p> <p><u>(ii) An issuer which has not made public its half-yearly financial report before the latest time specified in DTR 4.2.2R(2).</u></p>	<p><u>(i) Where the issuer has not made public its annual financial report before the latest time specified in DTR 4.1.3R, £250 in respect of that annual financial report.</u></p> <p><u>(ii) Where the issuer has not made public its half-yearly financial report before the latest time specified in DTR 4.2.2R(2), £250 in respect of that half-yearly financial report.</u></p>	<p><u>Within 30 days of the date of the invoice.</u></p>

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