

**LISTING RULES (CANCELLATION AND SUSPENSION NOTICES)
INSTRUMENT 2013**

WHEREAS:

- A. The Authority has, in accordance with Article 5 of the Designation Order, appointed persons to exercise the functions referred to in Article 5(1) of the Designation Order, which include the functions of the Financial Conduct Authority of making rules, giving guidance and issuing codes.
- B. By virtue of Article 5(3)(a) of the Designation Order the persons appointed may discharge the relevant functions as if they were the governing body of the Financial Conduct Authority.
- C. By virtue of Article 7(1) of the Designation Order this Instrument shall be treated as if it had been made by the Financial Conduct Authority acting through its governing body.
- D. Article 2(1)(c) of the Early Commencement Order commenced certain of the Financial Conduct Authority's rule making and other powers for the purposes specified in Part 3 of the Schedule to that Order.

Interpretation

- 1 In this Instrument (including the Recitals):
 - (1) "Designation Order" means the Financial Services Act 2012 (Transitional Provisions) (Rules and Miscellaneous Provisions) Order 2013 (SI 2013/161);
 - (2) "Early Commencement Order" means the Financial Services Act 2012 (Commencement No. 1) Order 2013 (SI 2013/113);
 - (3) "the Authority" means the Financial Services Authority;
 - (4) "the 2000 Act" means the Financial Services and Markets Act 2000;
 - (5) "the 2012 Act" means the Financial Services Act 2012; and
 - (6) "Financial Conduct Authority" means the body corporate referred to in section 1A of the 2000 Act as amended by section 6 of the 2012 Act.

Rules etc. made by the Financial Conduct Authority

- 2. In accordance with Article 2(1) of the Early Commencement Order and in the exercise of the powers and related provisions specified in paragraph 3, the Financial Conduct Authority makes each provision in Annex A to this Instrument.
- 3. The Financial Conduct Authority makes the provisions in the Annex to this Instrument in exercise of the following powers and related provisions of the 2000 Act, as amended by the 2012 Act:
 - (1) Section 139A (Power of the FCA to give guidance).

Commencement: Financial Conduct Authority

- 4 The Financial Conduct Authority directs that this Instrument comes into force on 1 April 2013.

Amendments to the Handbook

- 5 The Listing Rules sourcebook (LR) of the FCA's Handbook of rules and guidance is amended in accordance with the Annex to this Instrument.

Citation

- 6 This Instrument may be cited as the Listing Rules (Cancellation and Suspension Notices) Instrument 2013.

By order of the persons appointed under Article 5 of the Designation Order to discharge specified functions of the Financial Conduct Authority as if they were its governing body

19 March 2013

Annex**Amendments to the Listing Rules sourcebook (LR)**

In this Annex, underlining indicates new text.

Notice of cancellation or suspension

- 5.3.8 [FCA] G If an *issuer* requests the *FCA* to suspend or cancel the *listing* of its *securities* under *LR 5.3.1R* and the *FCA* agrees to do so, the notification given by the *FCA* to the *issuer* will include the following information:
- (1) the date on which the suspension or cancellation took effect or will take effect;
 - (2) details of the suspension or cancellation; and
 - (3) in relation to requests for suspension, details of the *issuer's* right to apply for the suspension of its *listed securities* to be cancelled.