

FEES PROVISIONS (2012/2013) INSTRUMENT 2012

Powers exercised

- A. The Financial Services Authority makes this instrument in the exercise of the following powers and related provisions in or under the Financial Services and Markets Act 2000 (“the Act”):
- (1) section 99 (Fees);
 - (2) section 101 (Part 6 rules: general provisions);
 - (3) section 156 (General supplementary powers);
 - (4) section 157(1) (Guidance);
 - (5) section 213 (The compensation scheme);
 - (6) section 223 (Management expenses);
 - (7) paragraph 17(1) (Fees) of Schedule 1 (The Financial Services Authority); and
 - (8) paragraphs 1 (General), 4 (Rules), and 7 (Fees) of Schedule 7 (The Authority as Competent Authority for Part VI).
- B. The rule-making powers listed above are specified for the purposes of section 153(2) (Rule-making instruments) of the Act.

Commencement

- C. This instrument comes into force on 1 April 2012.

Amendments to the Handbook

- D. The Fees manual (FEES) is amended in accordance with the Annex to this instrument.

Citation

- E. This instrument may be cited as the Fees Provisions (2012/2013) Instrument 2012.

By order of the Board
21 March 2012

Annex

Amendments to the Fees manual (FEES)

In this Annex, underlining indicates new text and striking through indicates deleted text.

4 Annex 5R Periodic fees for designated professional bodies payable in relation to the period 1 April ~~2011~~ 2012 to 31 March ~~2012~~ 2013

Table of fees payable by Designated Professional Bodies

Name of Designated Professional Body	Amount payable	Due date
The Law Society of England & Wales	£ 41,530 <u>£36,595</u>	30 April 2011 <u>2012</u>
...	...	
...		

...

4 Annex 6R Periodic fees for recognised investment exchanges, recognised clearing houses and recognised auction platforms payable in relation to the period 1 April ~~2011~~ 2012 to 31 March ~~2012~~ 2013

...

Part 1 – Periodic fees for UK recognised clearing houses and recognised investment exchanges

Name of UK recognised body	Amount payable	Due date
Euroclear UK & Ireland Limited	£ 325,000 <u>£300,000</u>	30 April 2011 <u>2012</u>
	...	
ICE Futures Europe Ltd	£ 255,000 <u>£250,000</u>	30 April 2011 <u>2012</u>
	...	
LIFFE Administration and Management	£ 400,000 <u>£375,000</u>	30 April 2011 <u>2012</u>
	...	

LCH Clearnet Limited	£375,000	30 April 2011 <u>2012</u>
	<u>£350,000</u>	
	...	
The London Metal Exchange Limited	£237,500	30 April 2011 <u>2012</u>
	<u>£225,000</u>	
	...	
London Stock Exchange plc	£335,000	30 April 2011 <u>2012</u>
	<u>£307,000</u>	
	...	
EDX London Ltd	£60,000	30 April 2011
	£30,000	1 September 2011
PLUS Markets Plc	£110,000	30 April 2011 <u>2012</u>
	<u>£95,000</u>	
	...	
European Central Counterparty Limited	£187,500	30 April 2011 <u>2012</u>
	<u>£177,500</u>	
	...	
ICE Clear Europe Limited	£275,000	30 April 2011 <u>2012</u>
	<u>£270,000</u>	
	...	
Chicago Mercantile Exchange Clearing Europe	£125,000	30 April 2011 <u>2012</u>
	<u>£200,000</u>	
	...	
...		

...

6 Annex 1R Financial Services Compensation Scheme – Management Expenses Levy Limit

This table belongs to FEES 6.4.2R	
Period	Limit on total of all management expenses levies attributable to that period (£)
...	
1 April 2011 to 31 March 2012	£1,000,000,000
<u>1 April 2012 to 31 March 2013</u>	<u>£1,000,000,000</u>