

**FEES PROVISIONS (2011/2012) INSTRUMENT 2011**

**Powers exercised**

- A. The Financial Services Authority makes this instrument in the exercise of the following powers and related provisions in or under the Financial Services and Markets Act 2000 (“the Act”):
- (1) section 99 (Fees);
  - (2) section 101 (Part 6 rules: general provisions);
  - (3) section 156 (General supplementary powers);
  - (4) section 157(1) (Guidance);
  - (5) section 213 (The compensation scheme);
  - (6) section 223 (Management expenses);
  - (7) paragraph 17(1) (Fees) of Schedule 1 (The Financial Services Authority); and
  - (8) paragraphs 1 (General), 4 (Rules), and 7 (Fees) of Schedule 7 (The Authority as Competent Authority for Part VI).
- B. The rule-making powers listed above are specified for the purposes of section 153(2) (Rule-making instruments) of the Act.

**Commencement**

- C. This instrument comes into force on 1 April 2011.

**Amendments to the Handbook**

- D. The Fees manual (FEES) is amended in accordance with the Annex to this instrument.

**Citation**

- E. This instrument may be cited as the Fees Provisions (2011/2012) Instrument 2011.

By order of the Board  
24 March 2011

## Annex

## Amendments to the Fees manual (FEES)

In this Annex, underlining indicates new text and striking through indicates deleted text.

**4 Annex 5R Periodic fees for designated professional bodies payable in relation to the period 1 April ~~2010~~ 2011 to 31 March ~~2011~~ 2012**

Table of fees payable by Designated Professional Bodies

Name of Designated Professional Body	Amount payable	Due date
The Law Society of England & Wales	£34,545 <del>£41,530</del>	30 April <del>2010</del> <u>2011</u>
...	...	
...		

...

**4 Annex 6R Periodic fees for recognised investment exchanges and recognised clearing houses payable in relation to the period 1 April ~~2010~~ 2011 to 31 March ~~2011~~ 2012**

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Part 1 – Periodic fees for UK recognised bodies

Name of UK recognised body	Amount payable	Due date
Euroclear UK & Ireland Limited	£277,500	30 April <del>2010</del> <u>2011</u>
	<del>£325,000</del>	
...	...	
ICE Futures Europe Ltd	£230,000	30 April <del>2010</del> <u>2011</u>
	<del>£255,000</del>	
...	...	
LIFFE Administration and Management	£325,000	30 April <del>2010</del> <u>2011</u>
	<del>£400,000</del>	
...	...	
LCH Clearnet Limited	£298,000 <del>£375,000</del>	30 April <del>2010</del> <u>2011</u>

	...	
The London Metal Exchange Limited	£198,000 £237,500	30 April 2010 2011
	...	
London Stock Exchange plc	£261,000 £335,000	30 April 2010 2011
	...	
EDX London Ltd	£42,500 £60,000	30 April 2010 2011
	...	
PLUS Markets Plc	£97,500 £110,000	30 April 2010 2011
	...	
European Central Counterparty Limited	£163,500 £187,500	30 April 2010 2011
	...	
ICE Clear Europe Limited	£184,000 £275,000	30 April 2010 2011
	...	
<u>Chicago Mercantile Exchange Clearing Europe</u>	<u>£125,000</u>	<u>30 April 2011</u>
...		

...

**6 Annex 1R Financial Services Compensation Scheme – Management Expenses Levy Limit**

This table belongs to FEES 6.4.2R	
Period	Limit on total of all management expenses levies attributable to that period (£)
...	
1 April 2010 to 31 March 2011	£1,000,000,000

<u>1 April 2011 to 31 March 2012</u>	<u>£1,000,000,000</u>
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