

**LISTING RULES SOURCEBOOK (AMENDMENT NO 5) INSTRUMENT 2010**

**Powers exercised**

- A. The Financial Services Authority makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000:
- (1) section 73A (Part 6 rules);
  - (2) section 75 (Applications for listing);
  - (3) section 77 (Discontinuance and suspension of listing);
  - (4) section 79 (Listing particulars and other documents);
  - (5) section 80 (General duty of disclosure in listing particulars);
  - (6) section 81 (Supplementary listing particulars);
  - (7) section 88 (Sponsors);
  - (8) section 89 (Public censure of sponsor);
  - (9) section 96 (Obligations of issuers of listed securities);
  - (10) section 99 (Fees);
  - (11) section 101 (Part 6 rules: general provisions);
  - (12) section 138 (General rule-making power);
  - (13) section 156 (General supplementary powers);
  - (14) section 157(1) (Guidance); and
  - (15) schedule 7 (The Authority as Competent Authority for Part VI).

**Commencement**

- B. This instrument comes into force on 23 April 2010.

**Amendments to the Handbook**

- C. The Listing Rules sourcebook (LR) is amended in accordance with this instrument.

**Citation**

- D. This instrument may be cited as the Listing Rules Sourcebook (Amendment No 5) Instrument 2010.

By order of the Board  
22 April 2010

**Annex****Amendments to the Listing Rules sourcebook (LR)**

In this Annex, striking through indicates deleted text.

**14 Standard listing (shares)**

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14.1.1 R This chapter applies to a *company* with, or applying for, a *standard listing* of ~~equity~~ *shares* other than:

- (1) *equity shares* issued by a *company* that is an *investment entity* unless it has a *premium listing* of a class of its *equity shares*; and
- (2) *preference shares* that are *specialist securities*.

...

14.2.5 G A *company* applying for a *standard listing* of ~~equity~~ *shares* will need to comply with LR 3 (Listing applications: All securities).