

Chapter 4

Further topics

4.5 Continuing regulatory requirements during wind-down

- 4.5.1** **G** Continuing requirements during wind-down: The wind-down plan does not create an exception for complying with *threshold conditions* or our *rules* during the wind-down period.
- 4.5.2** **G** During wind-down *firms* will need to continue to comply with the *Principles*, reporting requirements, financial resource requirements and any other relevant requirements.