

## Chapter 28A

Regulated funeral plan  
activities: good repute  
requirements



## 28A.3 Record keeping requirements

### Record keeping requirements

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- 28A.3.1** **R** A *firm* must:
- (1) establish, maintain and keep appropriate records to demonstrate compliance with this chapter; and
  - (2) be in a position to provide to the *FCA*, on request, the name of the *person* responsible for the record-keeping requirement in (1).
- 28A.3.2** **R** A *firm* must not prevent any *person* from obtaining a copy of the records relating to them which are maintained by the firm for the purposes of **SYSC 28A.3.1R**.
- 28A.3.3** **G** The rules specified in **SYSC 28A.3.1R** relate to the requirements specified in **SYSC 5.1.1R**, **SYSC 9.1.1R** and **SYSC 10.1.6R**.