

## Chapter 16

# Reporting requirements



## Firm details (See SUP 16.10.4R)

### A: Communications with a *firm*

1. Name of the *firm*
2. Trading name(s) of the *firm*
3. [deleted]
4. Registered office
5. Principal place of business
- 5A. Head office
- 5B. UK branch address (if the *firm* is a *branch*)
6. Website address
7. Complaints contact and complaints officer
8. The name and email address of the primary compliance contact

### B: Information about a *firm* and its appointed representatives on the *Financial Services Register*

- 8A. Information about any *appointed representative* of the *firm*
9. [deleted]
10. [deleted]
11. [deleted]

### C: Other information about a *firm*

12. [deleted]
13. [deleted]
14. Name and address of *firm's* auditor
- 14A. Name and address of *firm's* actuary (where relevant)
15. [deleted]
16. *Accounting reference date*
- 16A. Financial year end date
17. Locum
18. The name and email address of the *firm's* principal user of the appropriate systems accessible from the *FCA's* website