Chapter 15

Notifications to the FCA

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Notifications by UK AIFMs

This annex consists of one or more forms. Forms can be completed online now by visiting https://www.handbook.fca.org.uk/form

The forms referred to below can be found in the following Annexes in SUP:

- SUP 15 Annex 6A R AIFMD new fund under management notification
- SUP 15 Annex 6B R AIFMD notification of senior personnel amendments or removal form
- SUP 15 Annex 6C R AIFMD full-scope UK AIFM material change notification
- SUP 15 Annex 6D R AIFMD notice of sub-threshold AIFM exceeding AuM limit
- SUP 15 Annex 6E D AIFMD small registered AIFM change form
- SUP 15 Annex 6F G SEF and RVECA

management and marketing notifications