

## Chapter 15

# Notifications to the FCA



**Form NOT004 Notification that the fraud rate exceeds the reference fraud rate under SCA-RTS article 20**

NOT004 - Notification that the fraud rate exceeds the reference fraud rate under SCA-RTS article 20

<b>Q1</b>	<p><b>Name of service provider</b></p> <p><b>FRN</b></p> <p>Details of the person the FCA should contact in relation to this notification:</p> <p>Title</p> <p>First names</p> <p>Surname</p> <p>Position</p> <p>Phone number</p> <p>Email address</p>	
<b>Q2</b>	<p>Is this a notification that one or more monitored fraud rates for remote electronic card-based payments or remote electronic credit transfers exceeds the applicable reference fraud rate?</p> <p>If this notification is not the first, please provide the reference number received when the original notification was submitted</p>	<p><input type="checkbox"/> Yes Continue to question 2</p> <p><input type="checkbox"/> No If this is a notification that you intend to make use again of the transaction risk analysis exemption, go to question 8</p>
<b>Q3</b>	<p>Notification that the reference fraud rate is exceeded</p> <p>Please confirm that the fraud rates were calculated in accordance with SCA-RTS article 19</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>

Q4	Please provide the PSP's fraud rate(s), where they exceed the applicable reference fraud rate	GBP 440 GBP 220 GBP 85	Remote electronic card-based payments	Remote electronic credit transfers
Q5	For how many consecutive quarters has the fraud rate exceeded the applicable reference rate (if more than 1 quarter, please continue to question 6; otherwise, go to question 7)?	GBP 440 GBP 220 GBP 85	Remote electronic card-based payments	Remote electronic credit transfers
Q6	Please provide the date on which the PSP ceased to apply the transactional risk analysis exemption for the type(s) of transaction which exceeded the applicable reference fraud rate (DD/MM/YYYY)	GBP 440 GBP 220 GBP 85	Remote electronic card-based payments	Remote electronic credit transfers
Q7	Please provide a description of the measures that the PSP intends to adopt to restore compliance of their monitored fraud rate(s) with the applicable reference fraud rate(s)	max 500 words		
Q8	Please provide the PSP's fraud rate(s) from the last quarter that have been restored to compliance with the applicable reference fraud rate.	GBP 440 GBP 220 GBP 85	Remote electronic card-based payments	Remote electronic credit transfers
Q9	Please confirm that you have provided, alongside this notification, the underlying data and the calculation methodology used in relation to the	[ ] Yes [ ] No		

---

Q10	fraud rate(s) that have been restored to compliance with the applicable reference fraud rate.  When do you intend to start making use again of the transaction risk analysis exemption?	(DD/MM/YYYY)
-----	---	--------------