
Prudential sourcebook for MiFID Investment Firms

Schedule 3 Fees and other payment requirements

Sch 3.1 G

MIFIDPRU does not contain any *rules* that directly impose fees or other payments. However, ■ MIFIDPRU 9.1.2R(2)(c) applies the administrative fee in ■ SUP 16.3.14R for failure to submit reports by their due date to the late submission of any reports that are required under ■ MIFIDPRU 9.

