

Disclosure

Chapter 8

Disclosure

8.5 Own funds requirements

- 8.5.1** **R** A *firm* must disclose the following information regarding its compliance with the requirements set out in ■ MIFIDPRU 4.3 (Own funds requirement):
- (1) the *K-factor requirement*, broken down as follows:
 - (a) the sum of the *K-AUM requirement*, the *K-CMH requirement* and the *K-ASA requirement*;
 - (b) the sum of the *K-COH requirement* and the *K-DTF requirement*; and
 - (c) the sum of the *K-NPR requirement*, the *K-CMG requirement*, the *K-TCD requirement* and the *K-CON requirement*; and
 - (2) the *fixed overheads requirement*.
- 8.5.2** **R** A *firm* must disclose its approach to assessing the adequacy of its *own funds* in accordance with the *overall financial adequacy rule* in ■ MIFIDPRU 7.4.7R.