Prudential sourcebook for MiFID Investment Firms

Chapter 1

Application

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Notification under MIFIDPRU 1.2.4R in respect of the use of the alternative approach to measure AUM and/or COH for the purpose of determining if a firm can be classified as an SNI investment firm

[*Editor's note*: The form can be found at this address: https://www.handbook.fca.org.uk/form/MIFIDPRU 1 Annex 1R Notification under MIFIDPRU 1.2.4R .pdf