

Market conduct

Chapter 9

Data reporting service

9.1 Application, approach and structure

Application

9.1.1

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This chapter applies to:

- (1) a *UK person* (that is a *person* whose registered office or head office is located in the *UK*) seeking authorisation to provide a *data reporting service*;
- (2) A *UK branch* of a *third country person* seeking authorisation to provide a *data reporting service* as an *ARM* or *APA*;
- (3) a *MiFID investment firm* operating a *trading venue* seeking verification of its rights to provide a *data reporting service* under regulation 5(b) or (c) of the *DRS Regulations*;
- (4) a *UK RIE* seeking verification of its rights to provide a *data reporting service* under regulation 5(d) of the *DRS Regulations*; and
- (5) a *data reporting services provider*.

This chapter is not limited to operators of *trading venues* and *firms*.

[Note: article 59 of *MiFID*]

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Approach

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9.1.3A

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9.1.3B

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This regulatory framework enables the authorisation and supervision of *data reporting service providers* whose services form a key component of transparency in wholesale markets and, in the case of *approved reporting mechanisms*, a reporting service that assists in the detection and prevention of *market abuse*.

Structure

9.1.4

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The following table provides an overview of this chapter:

Handbook reference	Topic and specific application
MAR 9.1	Application, approach and structure
MAR 9.2	Authorisation and verification
MAR 9.2A	<i>Consolidated tape providers</i>
MAR 9.2B	Operating requirements
MAR 9.2C	Financial resources requirements for <i>consolidated tape providers</i>
MAR 9.3	Notification and information
MAR 9.4	Supervisory regime
MAR 9.5	Frequently Asked Questions
MAR 9 Annex 1D to MAR Annex 10D	Forms