

## Listing Rules

### LR TR 1

## Transitional Provisions: General and Venture Capital Trusts

### General Transitional Provisions

(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision: coming into force
1	Amendments to <i>LR</i> set out in Annex B of the Transparency Obligations Directive (Disclosure and Transparency Rules) Instrument 2006, relating to:  (i) <i>DTR 4</i> and periodic financial reporting; and  (ii) <i>DTR 6</i> in so far as they may relate to, or are required to give effect to, amendments in (i).	R	deleted		
1A	<i>LR</i> provisions referring to Companies Acts 1985, 2006 or related provisions.	R	deleted		
1B	<i>LR 12.4.7 R (2)</i>	R	A <i>company</i> may obtain the approval required by <i>LR 12.4.7 R (2)</i> by extraordinary resolution (rather than a special resolution) if there is a reference to an extraordinary resolution in the <i>company's</i> memorandum and articles which requires or permits it and which continues to have effect by virtue of article 9 and paragraph	From 6 February 2008 until further notice	1 July 2005

(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional pro- vision: dates in force	(6) Handbook provi- sion: coming into force
			23 of Schedule 3 of The Compan- ies Act 2006 (Commencement No.3, Con- sequential Amendments, Transitional Pro- visions and Sav- ings) Order 2007.		

Transitional Provisions for venture capital trusts

(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional pro- vision: dates in force	(6) Handbook provi- sion: coming into force
2	LR 15.2.11 R - LR 15.2.13A R and LR 15.4.7 R	R	expired		
3	LR 15.6.8 R	R	expired		

Listing Rules

LR TR 2  
Transitional Provision for closed-ended investment funds listed before 28 September 2007

(1)	(2) Material to which the transitional provisions applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision coming into force
1	LR 15.4.1A R and LR 15.4.1B G	R	deleted		



## Listing Rules

### LR TR 3

### Transitional Provisions for Investment Entities already listed under LR 14

(1)	(2) Material to which the transitional provisions applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision coming into force
1.	LR 5.2.7A R, LR 14, LR 15 and LR 16	R	<p>These transitional provisions apply to an entity that is an <i>overseas company</i> and an <i>investment entity</i> and that immediately before 6 March 2008 did not comply with the requirements of LR 15 or LR 16 (as in force at the time) but complied with the requirements of LR 14.</p> <p><b>Note:</b> LR 16A replaced LR 16 for the listing of <i>open-ended investment companies</i> on 4 January 2022.</p>	6 April 2010 Indefinite	6 April 2010
2.	LR 5.2.7A R, LR 14, LR 15 and LR 16A	R	<p>LR 14 continues to apply to the entity for so long as it is listed after that date (and LR 15 and LR 16A do not apply) unless the entity makes an election under rule 3 of these transitional provisions.</p>	4 January 2022 Indefinite	4 January 2022

(1)	(2) Material to which the transitional provisions applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision coming into force
3.	LR 5.2.7A R, LR 14, LR 15 and LR 16A	R	The entity may by notice in writing given to the <i>FCA</i> elect to comply with the requirements of LR 15 or LR 16A (which-ever is applic-able to the en-tity) instead of the require-ments in LR 14 from a date specified in the notice. An entity should not give no-tice under this transitional rule unless it has come to a reasonable opinion, after having made due and care-ful enquiry, that it can sat-isfy the re-quirements of and LR 16A (as the case may be).	4 January 2022 Indefinite	4 January 2022
4.	LR 5.2.7A R, LR 14, LR 15 and LR 16A	R	If an entity gives a notice under TR3 3R of these trans-itional provi-sions it must comply with the require-ments of LR 15 or LR 16A (as the case may be) from the date specified in the notice and the re-quirements of LR 14 no longer apply to the entity	4 January 2022 Indefinite	4 January 2022

(1)	(2) Material to which the transitional provisions applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision coming into force
			from that date.		
Note: An entity which intends to give notice under <a href="#">LR TR 3 3R</a> should consult with the <i>FCA</i> at the earliest possible stage if it intends to comply with the requirements of <a href="#">LR 15</a> or <a href="#">LR 16A</a> (whichever is applicable to the entity) instead of the requirements in <a href="#">LR 14</a> .					





Listing Rules

LR TR 4  
Transitional Provision for Issuers with a Premium Listing that  
are Overseas Companies

(1)	(2) Material to which the transitional provisions applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision: coming into force
1.	LR 9.8.7 R	R	deleted		



# Listing Rules

## LR TR 5 Transitional Provision for companies incorporated in the United Kingdom

(1)	(2) Material to which the transitional provisions applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision: coming into force
1.	LR 9.3.12R (1), (2) and (3)	R	deleted		



Listing Rules

LR TR 6  
Transitional Provision for overseas companies

(1)	(2) Material to which the transitional provisions applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision: coming into force
1.	LR 9.3.11 R	R	[deleted]		
2.	LR 9.8.7A R	R	[deleted]		
3.	LR 14.3.24 R	R	[deleted]		



# Listing Rules

LR TR 7

Transitional Provision for issuers with shares that do not confer full voting rights

(1)	(2) Material to which the transitional provisions applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision: coming into force
1.	LR 10, LR 11, LR 12	R	expired		





Listing Rules

LR TR 8  
Transitional Provisions for the Combined Code

(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision coming into force
1.	LR 9.8.6R (5) and (6)  LR 15.6.6R (2)	R	expired		



# Listing Rules

LR TR 9

Transitional Provision for a company that has a premium  
listing of equity shares but does not comply with LR 9.2.20R

(1)	(2) Material to which the transitional provisions applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision: coming into force
1.	LR 9.2.20 R	R	expired		



# Listing Rules

## LR TR 10

### Transitional Provision in relation to new sponsor services

(1)	(2) Material to which the transitional provisions applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision: coming into force
1.	LR 5.6.6 R	R	expired		
2.	LR 5.6.13 R, LR 5.6.17 R, LR 5.6.26 R	R	expired		
3.	LR 13.5.27B R	R	expired		



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## Listing Rules

### LR TR 11

#### Transitional Provisions in relation to Annual Financial Report

[deleted] Transitional Provisions in relation to Annual Financial Report [deleted]





## Listing Rules

### LR TR 12

#### Transitional Provisions in relation to continuing obligations regarding premium listing

(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision: coming into force
1.	LR 9.2.2AR (2)(a)	R	LR 9.2.2AR (2)(a) does not apply.	From 16 May 2014 up to and including 16 November 2014	16 May 2014
2.	LR 9.2.2AR (2)(b)	R	LR 9.2.2AR (2)(b) does not apply.	From 16 May 2014 up to and including the date of the next annual general meeting of the <i>listed company</i> , other than an annual general meeting for which notice:  (i) has already been given; or  (ii) is given within a period of 3 months from the event that resulted in a <i>person</i> becoming a <i>controlling shareholder</i> of a <i>listed company</i> .	16 May 2014
3.	LR 9.2.2E R	R	LR 9.2.2E R does not apply.	From 16 May 2014 up to and including the date of the next annual general meeting of the <i>listed company</i> other than an annual general meeting for which notice:  (i) has already been given; or  (ii) is given within a period of 3 months from the event that re-	16 May 2014

(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision: coming into force
4.	LR 9.2.21 R	R	Where a <i>listed company</i> is admitted to the <i>premium listing</i> category of the <i>official list</i> on or before 15 May 2014, LR 9.2.21 R does not apply.	sulted in a <i>person</i> becoming a <i>controlling shareholder</i> of a <i>listed company</i> . From 16 May 2014 up to and including 16 May 2016	16 May 2014
5.	LR 9.8.4C R	R	LR 9.8.4C R does not apply to a <i>listed company</i> with a financial year ending on or before 31 August 2014.	From 16 May 2014	16 May 2014
6.	LR 13.8.17 R	R	LR 13.8.17 R does not apply.	From 16 May 2014 up to and including 16 August 2014	16 May 2014

## Listing Rules

### LR TR 13

### Transitional Provisions for the UK Corporate Governance Code

(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision coming into force
1.	LR 9.8.6R(3)	R	[expired]		
2.	LR 9.8.6R(5), LR 9.8.6R(6) and LR 15.6.6R(2)	R	[expired]		
3.	LR 9.8.10R	R	[expired]		
4.	LR 9.8.6R(3), LR 9.8.6R(5), LR 9.8.6R(6) and LR 15.6.6R(2)	R	[expired]		
5.	LR 9.8.10R	R	[expired]		
6.	LR 9.8.6R(3)	R	<p>In the case of an annual financial report of a <i>listed company</i> or a <i>closed-ended investment fund</i> incorporated in the <i>United Kingdom</i> for an accounting period beginning before 1 January 2019:</p> <p>(1) LR 9.8.6R(3) does not apply; and</p> <p>(2) the annual financial report must include statements by the <i>directors</i> on:</p> <p>(a) the appropriateness of adopting the going concern basis of accounting (containing the information set out in provision C.1.3 of the UK Corporate Governance Code published by the Financial Reporting Council in April 2016); and</p> <p>(b) their assessment of the prospects of the <i>company</i> (containing the information set out in provision C.2.2 of the UK Corporate Governance Code published by the Financial Reporting Council in April 2016);</p> <p>prepared in accordance with the 'Guidance on Risk Management, Internal Control and Related Financial and Business Reporting' published by the Financial Re-</p>	From 13 December 2019 to 30 June 2020	13 December 2019

(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision coming into force
7.	LR 9.8.6R(5)	R	<p>porting Council in September 2014.</p> <p>In the case of an annual financial report of a <i>listed company</i> or a <i>closed-ended investment fund</i> for an accounting period beginning before 1 January 2019:</p> <p>(1) LR 9.8.6R(5) does not apply; and</p> <p>(2) the annual financial report must include a statement of how the <i>listed company</i> has applied the Main Principles set out in the UK Corporate Governance Code published by the Financial Reporting Council in April 2016, in a manner that would enable shareholders to evaluate how the principles have been applied.</p>	From 13 December 2019 to 30 June 2020	13 December 2019
8.	LR 9.8.6R (6)	R	<p>In the case of an annual financial report of a <i>listed company</i> or a <i>closed-ended investment fund</i> for an accounting period beginning before 1 January 2019:</p> <p>(1) LR 9.8.6R(6) does not apply; and</p> <p>(2) the annual financial report must include a statement as to whether the <i>listed company</i> has:</p> <p>(a) complied throughout the accounting period with all relevant provisions set out in the UK Corporate Governance Code published by the Financial Reporting Council in April 2016; or</p> <p>(b) not complied throughout the accounting period with all relevant provisions set out in the UK Corporate Governance Code published by the Financial Reporting Council in April 2016 and if so, setting out:</p> <p>(i) those provisions, if any, it has not complied with;</p> <p>(ii) in the case of provisions whose requirements are of a continuing nature, the period within which, if any, it did not comply with some or all of those provisions; and</p> <p>(iii) the <i>company's</i> reasons for non-compliance.</p>	From 13 December 2019 to 30 June 2020	13 December 2019

(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision coming into force
9.	LR 9.8.10R	R	<p>In the case of an annual financial report of a <i>listed company</i> or a <i>closed-ended investment fund</i> for an accounting period beginning before 1 January 2019:</p> <p>(1) LR 9.8.10R does not apply; and</p> <p>(2) the <i>listed company</i> must ensure that the auditors review each of the following before the annual report is published:</p> <p>(a) LR 9.8.6R(3) (statements by the <i>directors</i> regarding going concern and longer-term viability); and</p> <p>(b) the parts of the statement required by LR 9.8.6R(6) (corporate governance) that relate to the following provisions of the UK Corporate Governance Code published by the Financial Reporting Council in April 2016:</p> <p>(i) C.1.1;</p> <p>(ii) C.2.1 and C.2.3; and</p> <p>(iii) C.3.1 to C.3.8.</p>	From 13 December 2019 to 30 June 2020	13 December 2019
10.	LR 15.6.6R(2)	R	<p>In the case of an annual financial report of a <i>closed-ended investment fund</i> for an accounting period beginning before 1 January 2019:</p> <p>(1) LR 15.6.6R(2) does not apply; and</p> <p>(2) a <i>closed-ended investment fund's</i> statement required by LR 9.8.6R(6) need not include details about the following principles and provisions of the UK Corporate Governance Code published by the Financial Reporting Council in April 2016 except to the extent that those principles or provisions relate specifically to non-executive <i>directors</i>:</p> <p>(a) Principle D.1 (including Code Provisions D.1.1 to D.1.5); and</p> <p>(b) Principle D.2 (including Code Provisions D.2.1 to D.2.4).</p>	From 13 December 2019 to 30 June 2020	13 December 2019



## Listing Rules

### LR TR 14

#### Transitional Provisions in relation to DTR 7.3 (Related party transactions)

(1)	(2) Material to which the Transitional Provision applies	(3)	(4) Transitional Provision	(5) Transitional Provision: dates in force	(6) Handbook Provision: coming into force
1.	LR 9.2.6CR LR 9.2.6DR LR 15.4.1R LR 21.4.1R	R	A commercial company, <i>closed-ended investment fund</i> or <i>sovereign controlled commercial company</i> with <i>equity shares</i> that have a <i>premium listing</i> on 10 June 2019 is only required to comply with LR 9.2.6CR and LR 9.2.6DR from the start of the financial year beginning on or after 10 June 2019.	From 10 June 2019 to 31 December 2020	10 June 2019
2.	LR 14.3.25R LR 14.3.26R	R	A <i>company</i> that has a <i>standard listing</i> of <i>equity shares</i> (other than an <i>open-ended investment company</i> ) on 10 June 2019 is only required to comply with LR 14.3.25R and LR 14.3.26R from the start of the financial year beginning on or	From 10 June 2019 to 31 December 2020	10 June 2019

(1)	(2) Material to which the Transitional Provision applies	(3)	(4) Transitional Provision	(5) Transitional Provision: dates in force	(6) Handbook Pro- vision: coming into force
3.	LR 21.8.17AR LR 21.8.17BR	R	after 10 June 2019.  A <i>sovereign controlled commercial company</i> with <i>certificates representing shares</i> that have a <i>premium listing</i> on 10 June 2019 is only required to comply with LR 21.8.17AR and LR 21.8.17BR from the start of the financial year beginning on or after 10 June 2019.	From 10 June 2019 to 31 December 2020	10 June 2019



Listing Rules

LR TR 14A  
Transitional Provisions in relation to climate-related financial  
disclosures under LR 9.8.6R(8)

(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision: coming into force
1.	LR 9.8.6R(8))	R	LR 9.8.6R(8) applies in relation to a financial year of a <i>listed company</i> beginning on or after 1 January 2021.	From 21 December 2020	21 December 2020



## Listing Rules

### LR TR 15

### Transitional Provisions for a prospectus approved before IP completion day

(1)	(2) Material to which the Transitional Provision applies	(3)	(4) Transitional Provision	(5) Transitional Provision: dates in force	(6) Handbook Pro- vision: coming into force
1.	LR 2.2.10R, LR 3.3.2R, LR 3.3.6R, LR 3.4.4R, LR 6.2.1R, LR 6.2.2G, LR 6.7.1R, LR 6.12.2G, LR 8.2.1R, LR 8.4.1R, LR 8.4.3R(3), LR 8.4.8R, LR 8.4.9R(3), LR 9.2.18R, LR 13.1.3R, LR 13.4.1R, LR 13.6.1R LR 21.6.14R and LR 21.8.27R.	R	For the purposes of these rules references to a <i>prospectus</i> include:  (1) a prospectus referred to under regulation 74 of The Prospectus (Amendment etc.) (EU Exit) Regulations 2019; and  (2) a prospectus approved by the FCA before <i>IP completion day</i> .	For LR 3.3.6R, a period of six years following <i>IP completion day</i> .  For LR 13.1.3R, LR 13.4.1R and LR 13.6.1R, an indefinite period of time.  For all other provisions, 12 months following <i>IP completion day</i> .	<i>IP completion day</i>
2.	LR 3.4.7R	R	For the purposes of this rule, references to a <i>base prospectus</i> include:  (1) a prospectus referred to under regulation 74 of The Prospectus (Amendment etc.) (EU Exit) Regulations 2019; and  (2) a base prospectus ap-	For 12 months following <i>IP completion day</i>	<i>IP completion day</i>

(1)	(2) Material to which the Transitional Provision applies	(3)	(4) Transitional Provision	(5) Transitional Provision: dates in force	(6) Handbook Provision: coming into force
3.	LR 8.4.3R(1)	R	proved by the FCA before <i>IP completion day</i> .  For a prospectus referred to under regulation 74 of The Prospectus (Amendment etc.) (EU Exit) Regulations 2019, a <i>sponsor</i> must submit a completed Sponsor's Declaration on an Application for Listing to the <i>FCA</i> at a time to be agreed with the <i>FCA</i> .	For 12 months following <i>IP completion day</i>	<i>IP completion day</i>
4.	LR 8.4.3R(4)	R	For a prospectus referred to under regulation 74 of The Prospectus (Amendment etc.) (EU Exit) Regulations 2019, the letter referred to in LR 8.4.3R(4) must be submitted to the <i>FCA</i> at a time to be agreed with the <i>FCA</i> .	For 12 months following <i>IP completion day</i>	<i>IP completion day</i>
5.	LR 8.4.9R(1)	R	For a prospectus referred to under regulation 74 of The Prospectus (Amendment etc.) (EU Exit) Regulations 2019, a <i>sponsor</i> must submit a completed Spon	For 12 months following <i>IP completion day</i>	<i>IP completion day</i>

(1)	(2) Material to which the Transitional Provision applies	(3)	(4) Transitional Provision	(5) Transitional Provision: dates in force	(6) Handbook Pro- vision: coming into force
			sor's Declara- tion on an Ap- plication for Listing to the <i>FCA</i> at a time to be agreed with the <i>FCA</i> .		



## Listing Rules

### LR TR 16

### Transitional Provisions in relation to market capitalisation under LR 2.2.7 R(1)

Transitional provisions for applications for admission to listing

(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision coming into force
1.	LR 2.2.7 R(1)	R	<p>These transitional provisions apply to an <i>applicant</i> for the <i>admission</i> of <i>shares</i>:</p> <p>(a) that has made a complete submission to the <i>FCA</i> for an eligibility review for <i>listing</i> by 4:00pm on 2 December 2021;</p> <p>(b) whose submission for an eligibility review for <i>listing</i> has not been withdrawn or lapsed;</p> <p>(c) that makes an application for <i>listing</i> in accordance with LR 3 on or before 2 June 2023; and</p>	<p>3 December 2021</p> <p>Indefinite</p>	3 December 2021

(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision coming into force
			(d) whose overall business proposition has not materially changed between its submission in (a) and when it applies for <i>listing</i> in (c).  [Note: guidance on submissions for an eligibility review for listing can be accessed on the FCA's Knowledge Base at <a href="https://www.fca.org.uk/markets/primary-markets/knowledge-base">https://www.fca.org.uk/markets/primary-markets/knowledge-base</a> .]		
2.	LR 2.2.7 R(1)	R	The expected aggregate market value of all <i>shares</i> (excluding <i>treasury shares</i> ) to be <i>listed</i> must be at least £700,000.	3 December 2021 Indefinite	3 December 2021

## Transitional provisions for shell companies

(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision coming into force
1.	LR 2.2.7 R(1)	R	These transitional provisions apply to a <i>shell company</i> :  (a) that had a <i>listing</i> of <i>shares</i> or <i>certificates representing equity securities</i> immediately before 3 December 2021; and	3 December 2021 Indefinite 3 December 2021 Indefinite	3 December 2021 3 December 2021



(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision coming into force
			<p>(b) that makes complete submissions to the <i>FCA</i> for an eligibility review for <i>listing</i> and a <i>prospectus</i> review in relation to its proposed application for <i>listing</i> in accordance with LR 5.6.21R by 4:00pm on 1 December 2023; and</p> <p>(c) whose submissions for an eligibility review for <i>listing</i> and a <i>prospectus</i> review have not been withdrawn or lapsed.</p> <p>[Note: guidance on submissions for an eligibility review for <i>listing</i> and a <i>prospectus</i> review can be accessed on the <i>FCA</i>'s Knowledge Base at <a href="https://www.fca.org.uk/markets/primary-markets/knowledge-base">https://www.fca.org.uk/markets/primary-markets/knowledge-base</a>.]</p>		
2.	LR 2.2.7 R(1)	R	The expected aggregate market value of all <i>shares</i> (excluding <i>treasury shares</i> ) to be <i>listed</i> must be at least £700,000.	3 December 2021 Indefinite	3 December 2021

Transitional provisions for issuers of listed shares

(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision coming into force
1.	LR 2.2.7 R(1)	R	<p>These transitional provisions apply to an <i>issuer</i> (except a <i>closed-ended investment fund</i> or an <i>open-ended investment company</i>) that:</p> <p>(a) had at least one <i>class</i> of <i>listed shares</i> immediately before 3 December 2021;</p> <p>(b) continues to have at least one <i>class</i> of <i>listed shares</i>; and</p> <p>(c) is applying for another <i>class</i> of <i>shares</i> to be <i>listed</i>.</p>	<p>3 December 2021</p> <p>Indefinite</p>	3 December 2021
2.	LR 2.2.7 R(1)	R	The expected aggregate market value of all <i>shares</i> (excluding <i>treasury shares</i> ) to be <i>listed</i> must be at least £700,000.	<p>3 December 2021</p> <p>Indefinite</p>	3 December 2021

## Listing Rules

### LR TR 17

#### Transitional Provisions in relation to climate-related financial disclosures under LR 14.3.27R and LR 9.8.6R(8)

(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision: coming into force
1.	LR 14.3.27R	R	LR 14.3.27R applies in relation to a financial year of a <i>listed company</i> beginning on or after 1 January 2022.	From 1 January 2022	1 January 2022
2.	LR 9.8.6CG(5) and LR 9.8.6FG	G	LR 9.8.6CG(5) and LR 9.8.6FG apply in relation to a financial year of a <i>listed company</i> beginning on or after 1 January 2022.	From 1 January 2022	1 January 2022
3.	LR 9.8.6BG and LR 9.8.6CG	G	In relation to a financial year of a <i>listed company</i> beginning before 1 January 2022, references to the <i>TCFD Annex</i> in LR 9.8.6BG and LR 9.8.6CG may be read as references to the document entitled “Implementing the Recommendations of the Task Force on Climate-related Financial Disclosures” published in June 2017 by the Task Force on Climate-related Financial Disclosures, available at: <a href="https://www.fsb-tcfd.org">https://www.fsb-tcfd.org</a> .	From 1 January 2022	



## Listing Rules

### LR TR 18

#### Transitional Provisions in relation to diversity and inclusion

(1)	(2) Material to which the transitional provision applies	(3)	(4) Transitional provision	(5) Transitional provision: dates in force	(6) Handbook provision: coming into force
1.	LR 9.8.6R(9), LR 9.8.6R(10), LR 9.8.6R(11) and LR 9.8.6G R	R	LR 9.8.6R(9), LR 9.8.6R(10), LR 9.8.6R(11) and LR 9.8.6G R apply in relation to a financial year of a <i>listed company</i> beginning on or after 1 April 2022.	From 20 April 2022	20 April 2022
2.	LR 14.3.33R and LR 14.3.34R	R	LR 14.3.33R and LR 14.3.34R apply in relation to a financial year of a <i>company</i> beginning on or after 1 April 2022.	From 20 April 2022	20 April 2022



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## Listing Rules

### Schedule 1 [to follow]

Sch 1  
[to follow]





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## Listing Rules

### Schedule 2 [to follow]

Sch 2  
[to follow]



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## Listing Rules

### Schedule 3 [to follow]

Sch 3  
[to follow]



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## Listing Rules

### Schedule 4 Powers exercised

**Sch 4.1 G**  
[deleted]

**Sch 4.2 G**  
[deleted]



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## Listing Rules

### Schedule 5 [to follow]

Sch 5  
[to follow]

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## Listing Rules

### Schedule 6 Rules that can be waived

#### Sch 6.1 G

As a result of section 138A of the Act (Modification or waiver of rules) the *FCA* has power to waive all its *rules*, other than *rules* made under section 137O (Threshold condition code), section 247 (Trust scheme rules), section 248 (Scheme particular rules), section 261I (Contractual scheme rules) or section 261J (Contractual scheme particulars rules) of the *Act*.

#### Sch 6.2 G

In addition section 82 (Exemptions from disclosure) of the *Act* provides the *FCA* with discretion to authorise omissions from disclosure requirements derived from the *Consolidated Admissions and Reporting Directive* in the circumstances specified in that section.

