

Listing Rules

LR TR 15

Transitional Provisions for a prospectus approved before IP completion day

(1)	(2) Material to which the Transitional Provision applies	(3)	(4) Transitional Provision	(5) Transitional Provision: dates in force	(6) Handbook Provision: coming into force
1.	LR 2.2.10R, LR 3.3.2R, LR 3.3.6R, LR 3.4.4R, LR 6.2.1R, LR 6.2.2G, LR 6.7.1R, LR 6.12.2G, LR 8.2.1R, LR 8.4.1R, LR 8.4.3R(3), LR 8.4.8R, LR 8.4.9R(3), LR 9.2.18R, LR 13.1.3R, LR 13.4.1R, LR 13.6.1R LR 21.6.14R and LR 21.8.27R.	R	For the purposes of these rules references to a <i>prospectus</i> include: (1) a prospectus referred to under regulation 74 of The Prospectus (Amendment etc.) (EU Exit) Regulations 2019; and (2) a prospectus approved by the FCA before <i>IP completion day</i> .	For LR 3.3.6R, a period of six years following <i>IP completion day</i> . For LR 13.1.3R, LR 13.4.1R and LR 13.6.1R, an indefinite period of time. For all other provisions, 12 months following <i>IP completion day</i> .	<i>IP completion day</i>
2.	LR 3.4.7R	R	For the purposes of this rule, references to a <i>base prospectus</i> include: (1) a prospectus referred to under regulation 74 of The Prospectus (Amendment etc.) (EU Exit) Regulations 2019; and (2) a base prospectus ap-	For 12 months following <i>IP completion day</i>	<i>IP completion day</i>

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3.	LR 8.4.3R(1)	R	<p>proved by the FCA before <i>IP completion day</i>.</p> <p>For a prospectus referred to under regulation 74 of The Prospectus (Amendment etc.) (EU Exit) Regulations 2019, a sponsor must submit a completed Sponsor's Declaration on an Application for Listing to the FCA at a time to be agreed with the FCA.</p>	For 12 months following <i>IP completion day</i>	<i>IP completion day</i>
4.	LR 8.4.3R(4)	R	<p>For a prospectus referred to under regulation 74 of The Prospectus (Amendment etc.) (EU Exit) Regulations 2019, the letter referred to in LR 8.4.3R(4) must be submitted to the FCA at a time to be agreed with the FCA.</p>	For 12 months following <i>IP completion day</i>	<i>IP completion day</i>
5.	LR 8.4.9R(1)	R	<p>For a prospectus referred to under regulation 74 of The Prospectus (Amendment etc.) (EU Exit) Regulations 2019, a sponsor must submit a completed Spon</p>	For 12 months following <i>IP completion day</i>	<i>IP completion day</i>

(1)	(2) Material to which the Transitional Provision applies	(3)	(4) Transitional Provision	(5) Transitional Provision: dates in force	(6) Handbook Provision: coming into force
			sor's Declaration on an Application for Listing to the FCA at a time to be agreed with the FCA.		

