

Chapter 4

Listing particulars for professional securities market and certain other securities: All securities

4.3 Approval and publication of listing particulars

Approval of listing particulars

- 4.3.1 **R** An application for approval of *listing particulars* or *supplementary listing particulars* must comply with the procedures in **■** PRR 3.1 (as if those procedures applied to the application), except that the applicant does not need to submit a completed form A.
- 4.3.2 **R** The *FCA* will approve *listing particulars* or *supplementary listing particulars* if it is satisfied that the requirements of the *Act* and this chapter have been complied with.
- 4.3.3 **G** The *FCA* will try to notify the applicant of its decision on an application for approval of *listing particulars* or *supplementary listing particulars* within the same time limits as are specified in article 20 of the *Prospectus Regulation* for an application for approval of a *prospectus* or *supplementary prospectus*.
- 4.3.4 **R** An *issuer* must ensure that *listing particulars* or *supplementary listing particulars* are not published until they have been approved by the *FCA*.

Filing and publication of listing particulars etc

- 4.3.5 **R** An *issuer* must ensure that after *listing particulars* or *supplementary listing particulars* are approved by the *FCA*, the *listing particulars* or *supplementary listing particulars* are filed and published as if the relevant requirements in **■** PRR 3.2, article 21 of the *Prospectus Regulation*, the *PR Regulation* and the *Prospectus RTS Regulation* applied to them.